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An inequality for local unitary Theta correspondence

Z. GONG⁽¹⁾ AND L. GRENIÉ⁽²⁾

ABSTRACT. — Given a representation π of a local unitary group G and another local unitary group H , either the Theta correspondence provides a representation $\theta_H(\pi)$ of H or we set $\theta_H(\pi) = 0$. If G is fixed and H varies in a Witt tower, a natural question is: for which H is $\theta_H(\pi) \neq 0$? For given dimension m there are exactly two isometry classes of unitary spaces that we denote H_m^\pm . For $\varepsilon \in \{0, 1\}$ let us denote $m_\varepsilon^\pm(\pi)$ the minimal m of the same parity of ε such that $\theta_{H_m^\pm}(\pi) \neq 0$, then we prove that $m_\varepsilon^+(\pi) + m_\varepsilon^-(\pi) \geq 2n + 2$ where n is the dimension of π .

RÉSUMÉ. — Étant donnée une représentation π d'un groupe unitaire local G et un autre groupe unitaire local H , on sait que soit la correspondance Theta fournit une représentation $\theta_H(\pi)$ de H soit on pose $\theta_H(\pi) = 0$. Si on fixe G et on laisse H varier dans une tour de Witt, une question naturelle est : pour quels H a-t-on $\theta_H(\pi) \neq 0$? Pour chaque dimension m il y a exactement deux classes d'équivalence d'espaces unitaires que nous dénotons H_m^\pm . Pour $\varepsilon \in \{0, 1\}$, dnotons $m_\varepsilon^\pm(\pi)$ le plus petit m de la parité de ε tel que $\theta_{H_m^\pm}(\pi) \neq 0$, alors nous montrons que $m_\varepsilon^+(\pi) + m_\varepsilon^-(\pi) \geq 2n + 2$ où n est la dimension de π .

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1. Introduction

The Theta correspondence is a powerful tool for the study of automorphic and local representations. It has been studied and used in the global and in the local case by various authors, see for instance [Har07], [HKS96], [How], [Kud86], [KR05], [MVW87], [Ral84], [Wal90]. We will restrict ourselves to the local case: we suppose that the base field is a p -adic field with $p \neq 2$. The Theta correspondence builds a duality between the representations of two reductive groups forming a dual pair inside a given symplectic (or metaplectic) group. The theory will be explained in greater detail in section 2. We will be interested in the so-called unitary case where both groups are unitary. To an irreducible representation π of the first group G corresponds at most one representation of the second group H that we denote $\theta(\pi) = \theta(G, H, \pi)$ where $\theta(\pi) = 0$ if there is no representation of H corresponding to π (in the unitary case, θ depends on the choice of a auxiliary character χ , we will thus write θ_χ instead of θ in that case). One can fix a representation π of an unitary group $G = \mathrm{U}(W)$ and vary the second group $H = \mathrm{U}(V)$, where W and V are Hermitian spaces and G and H are their respective unitary groups. One way to vary the space V is to start from a given irreducible space V_0 and to add hyperbolic planes $V_{1,1}$. One obtains a so-called Witt tower of spaces $V_r = V_0 \oplus (V_{1,1})^r$ and groups $H_r = H(V_r)$. We have (up to isometry) four such towers depending on the parity of r and on the sign of the Hasse invariant (see below for its definition). We denote them, with a slight notation shift, $V_{2r+m_0}^\pm$ where $m_0 = 0$ or 1 , the dimension of $V_{2r+m_0}^\pm$ is $2r + m_0$ and \pm is the sign of the Hasse invariant. It is now well known that if $\theta_\chi(G, H(V_{2r+m_0}^\pm), \pi) \neq 0$ then $\theta_\chi(G, H(V_{2r+2+m_0}^\pm), \pi) \neq 0$. We can thus consider, for a given m_0 , the two integers $m_\chi^\pm(\pi)$ which are the minimal $m = 2r + m_0$ such that $\theta_\chi(G, H(V_m^\pm), \pi) \neq 0$.

We prove here a part of a conjecture of Harris, Kudla and Sweet (see Conjecture 2.7), namely

THEOREM 3.10. — *Let π be an irreducible admissible representation of $G(W)$ where $\dim W = n$. Then*

$$m_\chi^+(\pi) + m_\chi^-(\pi) \geq 2n + 2 .$$

The conjecture (the Conservation Relation, see Conjecture 2.7) asserts that the inequality is in fact an equality.

In some important cases, Theorem 3.10, combined with the results of [HKS96] on local zeta integrals, suffices to prove stronger results. In parti-

cular, it is known, thanks to [HKS96], that

$$m = \inf(m_\chi^+(\pi), m_\chi^-(\pi)) \leq n.$$

When $m = n$ Harris and Kudla use this inequality and Theorem 3.10 to prove the *Dichotomy Conjecture* of [HKS96] ([Har07][Theorem 2.1.7]), which determines whether $m = m_\chi^+(\pi)$ or $m = m_\chi^-(\pi)$ in terms of local root numbers.

The (still-conjectural) Conservation Relation, the Dichotomy Conjecture (now proved), and Kudla’s Persistence Principle (Proposition 2.6) go a long way toward providing a complete explicit determination of the local theta correspondence. Resolving the remaining ambiguities will require a better understanding of the poles of local zeta integrals. A key step in the present paper, as in [KR05], is to prove simplicity of these poles for unramified representations. This implies the Conservation Relation when π is the trivial representation, and a doubling argument that goes back to Kudla and Rallis, together with a cocycle calculation, then implies Theorem 3.10.

The inequality proved in Theorem 3.10 is applied in a global situation in [Har07] to study special values of L -functions.

While we were writing this manuscript, Harris brought to our attention that a proof in his article [Har07] was incomplete. Since the arguments are related to the ones explained here, we have added that proof as an appendix to this paper.

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2. Notations

This section recalls the local Theta correspondence as in [Kud96] and cites some of the results of [HKS96].

We fix once and for all a non archimedean local field F of residual characteristic different from 2.

The mapping Δ will always be a diagonal embedding, usually from G to $G \times G$ except in one point where it will be precised.

2.1. Heisenberg group

Let W be a vector space with a symplectic form $\langle \cdot, \cdot \rangle$ on which the group $\text{GL}(W)$ will act on the right – accordingly, if f and g are two endomorphisms of W , we will denote $f \circ g$ the endomorphism such that $(f \circ g)(w) = g(f(w))$. We will denote, as usual,

$$\text{Sp}(W) = \{g \in \text{GL}(W) \mid \forall (x, y) \in W^2, \langle xg, yg \rangle = \langle x, y \rangle\}$$

its isometry group.

DEFINITION 2.1. — *The Heisenberg group of W if the group $H(W) = W \ltimes F$ with product*

$$(w_1, t_1)(w_2, t_2) = (w_1 + w_2, t_1 + t_2 + \frac{1}{2}\langle w_1, w_2 \rangle) .$$

The centre of $H(W)$ is $\{(0, t) \mid t \in F\}$ and $\text{Sp}(W)$ acts on $H(W)$ via its action on W :

$$(w, t)^g = (wg, t) .$$

We recall

THEOREM 2.2 (STONE–VON NEUMANN). — *Let ψ be a non trivial unitary character of F . There exists, up to isomorphism, one smooth irreducible representation (ρ_ψ, S) of $H(W)$ such that*

$$\rho_\psi((0, t)) = \psi(t) \cdot \text{id}_S .$$

If we fix such a representation (ρ_ψ, S) , then for any $g \in \text{Sp}(g)$, the representation $h \mapsto \rho_\psi^g(h) = \rho_\psi(h^g)$ is a representation of $H(W)$ with the same central character, which means that it must be isomorphic to ρ_ψ . Hence there is an isomorphism $A(g) \in \text{GL}(S)$, unique up to a scalar, such that

$$\forall h \in H, \quad A(g)^{-1} \rho_\psi(h) A(g) = \rho_\psi^g(h). \quad (2.1)$$

The group

$$\text{Mp}(W) = \{(g, A(g)) \mid \text{equation (1) holds}\}$$

is independent of the choice of ψ and is a central extension of $\text{Sp}(W)$ by \mathbf{C}^\times :

$$0 \longrightarrow \mathbf{C}^\times \longrightarrow \text{Mp}(W) \longrightarrow \text{Sp}(W) \longrightarrow 1 .$$

The group $\mathrm{Mp}(W)$ has a natural representation, called the Weil representation, ω_ψ on S given by

$$\begin{aligned} \omega_\psi : \mathrm{Mp}(W) &\longrightarrow \mathrm{End}(S) \\ (g, A(g)) &\longmapsto A(g) \end{aligned}$$

2.2. The Schrödinger model of the Weil representation

The natural mapping $(g, A(g)) \mapsto A(g)$ defines a representation of $\mathrm{Mp}(W)$ which has several models. We are interested in the so-called Schrödinger model.

Let Y be a Lagrangian of W , i.e. a maximal isotropic subspace of W and $W = X \oplus Y$ a complete polarisation of W . We consider Y as a degenerate symplectic space and see $H(Y) = Y \ltimes F$ as a maximal abelian subgroup of $H(W)$. We consider the extension ψ_Y of the character ψ from F to $H(Y)$ defined by $\psi_Y(y, t) = \psi(t)$. Let

$$S_Y = \mathrm{Ind}_{H(Y)}^{H(W)} \psi_Y .$$

We recall that S_Y is the space of the functions $f : H(W) \longrightarrow \mathbf{C}$ such that

$$\forall h \in H, \forall h_1 \in H(Y), f(h_1 h) = \psi_Y(h_1) f(h)$$

and such that there exists a compact open subgroup L of W satisfying

$$\forall h \in H, \forall l \in L, f(h(l, 0)) = f(h) .$$

We fix an isomorphism of S_Y with the space $\mathcal{S}(X)$ of Schwartz functions on X by

$$\begin{aligned} S_Y &\longrightarrow \mathcal{S}(X) \\ f &\longmapsto \varphi : X \rightarrow \mathbf{C} \\ &\quad x \mapsto \varphi(x) = f(x, 0). \end{aligned}$$

The group $H(W)$ acts on S_Y by right translation while it acts on $\varphi \in \mathcal{S}(X)$ by

$$(\rho(x + y, t)\varphi)(x_0) = \psi \left(t + \langle x_0, y \rangle + \frac{1}{2} \langle x, y \rangle \right) \varphi(x_0 + x)$$

where $x + y \in W$ is with $x \in X$ and $y \in Y$. Then (see [MVW87]) $(\rho, \mathcal{S}(X))$ is a model for the Weil representation.

We specify the operator ω_ψ as follows. We identify an element $w \in W$ with the row vector $(x, y) \in X \oplus Y$. An element $g \in \mathrm{Sp}(W)$ will be of

the form $g = \begin{pmatrix} a & b \\ c & d \end{pmatrix}$ with $a \in \text{End}(X)$, $b \in \text{Hom}(X, Y)$, $c \in \text{Hom}(Y, X)$ and $d \in \text{End}(Y)$. Let $P_Y = \{g \in \text{Sp}(W) | c = 0\}$ be the maximal parabolic subgroup of $\text{Sp}(W)$ that stabilises Y and $N_Y = \{g \in P_Y | d = \text{id}_Y\}$ its unipotent radical. We have a Levi subgroup $M_Y = \{g \in P_Y | b = 0\}$ of P_Y and $P_Y = M_Y N_Y$.

We define the following natural mappings:

$$\begin{aligned} m : \text{GL}(X) &\longrightarrow M_Y \\ a &\longmapsto m(a) = \begin{pmatrix} a & 0 \\ 0 & a^\vee \end{pmatrix} \\ n : \text{Her}(X, Y) &\longrightarrow N_Y \\ b &\longmapsto n(b) = \begin{pmatrix} \text{id}_X & b \\ 0 & \text{id}_Y \end{pmatrix} \end{aligned}$$

where a^\vee is the inverse of the dual of a and $\text{Her}(X, Y)$ is the subset of those $b \in \text{Hom}(X, Y)$ which are Hermitian (in both cases we identify the dual of $X \oplus Y$ with $Y \oplus X$ using $\langle \cdot, \cdot \rangle$).

PROPOSITION 2.3 ([Kud96, Proposition 2.3, p.8]). — *Let $g = \begin{pmatrix} a & b \\ c & d \end{pmatrix} \in \text{Sp}(g)$. The operator $r(g)$ of $\mathcal{S}(X)$ defined by*

$$r(g)(\varphi)(x) = \int_{\text{Ker}c^{\setminus Y}} \psi \left(\frac{1}{2} \langle xa, xb \rangle - \langle xb, yc \rangle + \frac{1}{2} \langle yc, yd \rangle \right) \varphi(xa + yc) d\mu_g(y)$$

is proportional to $A(g)$ and moreover is unitary for a unique Haar measure $d\mu_g(y)$ on $\text{Ker } c^{\setminus Y}$.

2.3. Dual reductive pairs

DEFINITION 2.4. — *A dual reductive pair (G, G') in $\text{Sp}(W)$ is a pair of subgroups of $\text{Sp}(W)$ such that both G and G' are reductive and*

$$\text{Cent}_{\text{Sp}(W)}(G) = G' \quad \text{and} \quad \text{Cent}_{\text{Sp}(W)}(G') = G .$$

If (G, G') is a dual reductive pair in $\text{Sp}(W)$, we denote \tilde{G} and \tilde{G}' the pullbacks of the subgroups in $\text{Mp}(W)$. As seen in [MVW87], there exists a natural morphism

$$j : \tilde{G} \times \tilde{G}' \longrightarrow \text{Mp}(W)$$

such that the restriction of j to $\mathbf{C}^\times \times \mathbf{C}^\times$ is the product.

We consider the pullback $(j^*(\omega_\psi), S)$ of ω_ψ to $\tilde{G} \times \tilde{G}'$. We note that the central character for both \tilde{G} and \tilde{G}' is the identity:

$$\omega_\psi(j(z_1, z_2)) = z_1 z_2 \cdot \text{id}_S .$$

Let π be an irreducible admissible representation of \tilde{G} such that the central character of π is the identity. If

$$\mathcal{N}(\pi) = \bigcap_{\lambda \in \text{Hom}_{\tilde{G}}(S, \pi)} \text{Ker } \lambda$$

then $S(\pi) = S/\mathcal{N}(\pi)$ is the largest quotient of S on which \tilde{G} acts by π . The action of \tilde{G}' on S commutes with the action of \tilde{G} so that \tilde{G}' acts on $S(\pi)$ and thus $S(\pi)$ is a representation of $\tilde{G} \times \tilde{G}'$. There exists (see [MVW87]) a smooth representation $\Theta_\psi(\pi)$ of G' , unique up to isomorphism, such that

$$S(\pi) \simeq \pi \otimes \Theta_\psi(\pi) .$$

The principal result of the theory is the following

THEOREM 2.5 (Howe duality principle). — *Let F be a non archimedean local field with residual characteristic different from 2 and let π be an irreducible admissible representation of \tilde{G} .*

- i) *If $\Theta_\psi(\pi) \neq 0$, then it is an admissible representation of \tilde{G}' of finite length.*
- ii) *If $\Theta_\psi(\pi) \neq 0$, there exists a unique \tilde{G}' -submodule $\Theta_\psi^0(\pi)$ such that the quotient*

$$\theta_\psi(\pi) = \Theta_\psi(\pi) / \Theta_\psi^0(\pi)$$

is irreducible. If $\Theta_\psi(\pi) = 0$, we let $\theta_\psi(\pi) = 0$.

- iii) *If two irreducible admissible representations π_1 and π_2 of \tilde{G} are such that $\theta_\psi(\pi_1) \simeq \theta_\psi(\pi_2) \neq 0$ then $\pi_1 \simeq \pi_2$.*

2.4. The unitary case

Let E/F be a quadratic extension and $\epsilon_{E/F}$ the corresponding quadratic character of F^\times .

We fix a quadratic space W of dimension n with skew-Hermitian form

$$\langle \cdot, \cdot \rangle : W \times W \longrightarrow E$$

(linear in the second argument). We will denote $G(W)$ its isometry group.

Let V be a quadratic space of dimension m with Hermitian form

$$(\cdot, \cdot) : V \times V \longrightarrow E$$

(linear in the second argument). We will denote

$$G(V) = \{g \in \mathrm{GL}(V) \mid \forall v, w \in V, (gv \mid gw) = (v \mid w)\}$$

the isometry group of V . The space V will vary in the remaining of the paper.

Let $\mathbb{W} = \mathrm{R}_{E/F}(V \otimes_E W)$ with symplectic form

$$\begin{aligned} \langle \langle \cdot, \cdot \rangle \rangle : \quad \mathbb{W} \otimes \mathbb{W} &\longrightarrow F \\ (v_1 \otimes w_1, v_2 \otimes w_2) &\longmapsto \langle v_1 \otimes w_1, v_2 \otimes w_2 \rangle \\ &= \frac{1}{2} \mathrm{Tr}_{E/F}((v_1, v_2) \langle w_1, w_2 \rangle). \end{aligned}$$

The pair $(G(V), G(W))$ is a dual reductive pair in $\mathrm{Sp}(\mathbb{W})$. We have a natural inclusion

$$\begin{aligned} \iota : G(V) \times G(W) &\longrightarrow \mathrm{Sp}(\mathbb{W}) \\ (g, h) &\longmapsto \iota(g, h) = g \otimes h. \end{aligned}$$

For any pair of characters $\chi = (\chi_m, \chi_n)$ of E^\times such that

$$\chi_n \mid_{F^\times} = \epsilon_{E/F}^n, \quad \chi_m \mid_{F^\times} = \epsilon_{E/F}^m,$$

one can define, see [Kud94, Proposition 4.8, p.396], a homomorphism

$$\tilde{\iota}_\chi : G(V) \times G(W) \longrightarrow \mathrm{Mp}(\mathbb{W})$$

lifting ι (the homomorphism $\tilde{\iota}_\chi$ *does* depend on χ). Since the context will usually make clear which of χ_m and χ_n is considered, we will often use χ instead of χ_m or χ_n . Moreover we define $\iota_{V,\chi}$ (resp. $\iota_{W,\chi}$) the restriction of ι_χ to $G(V) \times 1$ (resp. $1 \times G(W)$).

We will denote ω_ψ the Weil representation of $\mathrm{Mp}(\mathbb{W})$ and ω_χ its pullback through $\tilde{\iota}_\chi$. As before, if π is an irreducible admissible representation of $G(V)$, we get a representation $\Theta_\chi(\pi, V)$ of $G(W)$ such that

$$S(\pi) \simeq \pi \otimes \Theta_\chi(\pi, V)$$

and if $\Theta_\chi(\pi, V) \neq 0$, we say that π appears in the local Theta correspondence for the pair $(G(V), G(W))$. This condition depends on χ_m but not on χ_n . As above we define $\theta_\chi(\pi, V)$ to be the unique irreducible quotient of $\Theta_\chi(\pi, V)$ (or 0 if $\Theta_\chi(\pi, V) = 0$).

Witt towers. For a fixed dimension m , there are two equivalence classes of Hermitian spaces of dimension m over E . These two classes are distinguished by their Hasse invariant

$$\epsilon(V) = \epsilon_{E/F} \left((-1)^{\frac{m(m-1)}{2}} \det V \right) .$$

We thus get two families of spaces V_m^\pm where the sign is the sign of the Hasse invariant. As Hermitian spaces we have $V_{m+2}^\pm \simeq V_m^\pm \oplus V_{1,1}$, where $V_{1,1}$ is an hyperbolic plane and the direct sum is orthogonal. We thus get four so-called Witt towers

$$\begin{aligned} V_{2r}^+ &= V_0^+ \oplus (V_{1,1})^r, & V_{2r+2}^- &= V_2^- \oplus (V_{1,1})^r, \\ V_{2r+1}^+ &= V_1^+ \oplus (V_{1,1})^r, & V_{2r+1}^- &= V_1^- \oplus (V_{1,1})^r \end{aligned}$$

where V_0^+ is the null vector space, V_2^- is an anisotropic 2-dimensional Hermitian space and V_1^\pm are one dimensional anisotropic Hermitian spaces. In each case the integer r is the Witt index of the corresponding Hermitian space¹.

We have

PROPOSITION 2.6 [HKS96],[Kud96]. — *Consider a Witt tower $\{V_m^\epsilon\}$ with $\epsilon = \pm$.*

- i) (*Persistence*) *If $\theta_\chi(\pi, V_m^\epsilon) \neq 0$ then $\theta_\chi(\pi, V_{m+2}^\epsilon) \neq 0$.*
- ii) (*Stable range*) *We have $\theta_\chi(\pi, V_m^\epsilon) \neq 0$ if the Weil index r_0 of V_m is such that $r_0 \geq n$.*

We fix $m_0 \in \{0, 1\}$ and a character χ of E^\times such that $\chi|_{F^\times} = \epsilon_{E/F}^{m_0}$ and we consider the two towers V_m^\pm with m of the parity of m_0 (if $m_0 = 0$ we disregard V_0^- which does not exist). Let $m_\chi^\pm(\pi)$ be the smallest m such that

$$\theta_\chi(\pi, V_m^\pm) \neq 0 .$$

Based on several examples, we have

CONJECTURE 2.7 (**Conservation relation**, [HKS96, Speculations 7.5 and 7.6], [KR05, Conjecture 3.6]). — *If π is an irreducible admissible representation of $G(W)$, then*

$$m_\chi^+(\pi) + m_\chi^-(\pi) = 2n + 2 .$$

⁽¹⁾ We recall that the Witt index of a quadratic space is the dimension of a maximal totally isotropic subspace

2.5. Degenerate principal series

Let W_+ and W_- be two copies of W with respectively the same form as W and its opposite. We keep the pair of characters $\chi = (\chi_m, \chi_n)$. We fix for the space $W_+ \oplus W_-$ the complete polarisation $X \oplus Y$ where $X = \{(w, -w) | w \in W\}$ and $Y = \{(w, w) | w \in W\} = \Delta(W)$ (recall that Δ is the diagonal embedding of W in $W_+ \oplus W_-$). We let then

$$\begin{aligned} \mathbb{W}_+ &= \mathbf{R}_{E/F}(V \otimes_E W_+) & \mathbb{W}_- &= \mathbf{R}_{E/F}(V \otimes_E W_-) \\ \mathbb{X} &= \mathbf{R}_{E/F}(V \otimes_E X) & \mathbb{Y} &= \mathbf{R}_{E/F}(V \otimes_E Y) . \end{aligned}$$

and we consider the representation $\omega_{V, W_+ \oplus W_- , \chi}$ of $G(V) \times G(W_+ \oplus W_-)$ induced by the Weil representation of $\mathbb{W}_+ \oplus \mathbb{W}_-$ on $S = \mathcal{S}(\mathbb{X}) \simeq \mathcal{S}(V^n)$. Let $R_n(V, \chi)$ be the maximal quotient of S on which $G(V)$ acts by the character χ_m . The space $R_n(V, \chi)$ can be seen as a representation of $G(W) \times G(W)$ via the natural embedding

$$i : G(W) \times G(W) = G(W_+) \times G(W_-) \hookrightarrow G(W_+ \oplus W_-) .$$

From now on, we will denote $G = G_n = G(W)$ and $\tilde{G} = \tilde{G}_n = G(W_+ \oplus W_-)$ so that $i : G \times G \hookrightarrow \tilde{G}$.

We then have

PROPOSITION 2.8 ([HKS96], Proposition 3.1 and discussion before). — *If π be an irreducible admissible representation of $G(W)$, then*

$$\Theta_\chi(\pi, V) \neq 0 \iff \mathrm{Hom}_{G \times G}(R_n(V, \chi), \pi \otimes (\chi_m \cdot \pi^\vee)) \neq 0 .$$

Let P_Y be the parabolic subgroup of \tilde{G} stabilising Y . We will denote M_Y its maximal Levi subgroup and N_Y its unipotent radical. As for the symplectic case, M_Y and N_Y are parametrised respectively by $\mathrm{GL}(X)$ and $\mathrm{Her}(X, Y)$.

For $s \in \mathbf{C}$ and χ a character of E^\times , let

$$I_n(s, \chi) = \mathrm{Ind}_{P_Y}^{\tilde{G}} \chi | \cdot |^s$$

be the degenerate principal series (the induction is unitary and the elements of $I_n(s, \chi)$ are locally constant functions $\Phi(g, s)$).

We can identify $R_n(V, \chi)$ as a subspace of some $I_n(s, \chi)$ by sending an element $\varphi \in \mathcal{S}(X)$ to the function $g \mapsto \omega_\chi(g)\varphi(0)$ — (we recall that we denote $\omega_\chi = \omega_\psi \circ \tilde{v}_{V, \chi}$). The spaces $R_n(V_m^\pm, \chi)$ allows us to decompose the various $I_n(s, \chi)$ as explained by the following proposition.

PROPOSITION 2.9 ([KS97, Theorem 1.2, p.257]). — Let V_m^\pm be an m -dimensional unitary space and Hasse invariant \pm . Let $s_0 = \frac{m-n}{2}$ and χ a character of E^\times such that $\chi|_{F^\times} = \epsilon_{E/F}^m$.

- i) If $m \leq n$, i.e. if $s_0 \leq 0$, then the modules $R_n(V_m^\pm, \chi)$ are irreducible and $R_n(V_m^+, \chi) \oplus R_n(V_m^-, \chi)$ is the maximal completely reducible submodule of $I_n(s_0, \chi)$.
- ii) If $m = n$, i.e. if $s_0 = 0$, then $I_n(0, \chi) = R_n(V_n^+, \chi) \oplus R_n(V_n^-, \chi)$.
- iii) If $n < m < 2n$, i.e. if $0 < s_0 < \frac{n}{2}$, then $I_n(s_0, \chi) = R_n(V_m^+, \chi) + R_n(V_m^-, \chi)$ and $R_n(V_m^+, \chi) \cap R_n(V_m^-, \chi)$ is the unique irreducible submodule of $I_n(s_0, \chi)$.
- iv) If $m = 2n$, i.e. if $s_0 = \frac{n}{2}$, then $I_n(s_0, \chi) = R_n(V_{2n}^+, \chi)$, $R_n(V_{2n}^-, \chi)$ is of codimension 1 and is the unique irreducible submodule of $I_n(s_0, \chi)$.
- v) If $m > 2n$, i.e. if $s_0 > \frac{n}{2}$, then $I_n(s_0, \chi) = R_n(V_m^\pm, \chi)$ is irreducible.

In all other cases $I_n(s, \chi)$ is irreducible.

To refine the aforementioned decompositions we begin with the Bruhat decomposition of \tilde{G} :

$$\tilde{G} = \prod_{j=0}^n P_Y \omega_j P_Y, \quad \text{with } \omega_j = \begin{pmatrix} I_{n-j} & 0 & 0 & 0 \\ 0 & 0 & 0 & I_j \\ 0 & 0 & I_{n-j} & 0 \\ 0 & -I_j & 0 & 0 \end{pmatrix}$$

and let us introduce, as in [Kud96, p.19] and [Rao93] the mapping

$$\begin{aligned} x : \quad \tilde{G} &\longrightarrow E^\times / N_{E/F} E^\times \\ p_1 \omega_j^{-1} p_2 &\longmapsto \det(p_1 p_2|_Y) \bmod N_{E/F} E^\times \end{aligned}$$

Whenever $\chi|_{F^\times} = \mathbf{1}$ we can introduce the character $\chi_{\tilde{G}}$ of \tilde{G}

$$\chi_{\tilde{G}}(g) = \chi(x(g)) .$$

We extend the definition of R_n as follows:

$$R_n(V_0^+, \chi) = R_n(0, \chi) = \mathbf{C} \cdot \chi_{\tilde{G}}$$

and $R_n(V_0^+, \chi)$ is a submodule of dimension 1 of $I_n(-\frac{n}{2}, \chi)$ (we are, at least formally, in the case *i*) of Proposition 2.9). As a last step, we define the intertwining operators

$$M_n(s, \chi) : I_n(s, \chi) \longrightarrow I_n(-s, \chi)$$

by the integral

$$M_n(s, \chi)(\Phi) = \int_{N_Y} \Phi(w_n u g, s) du = \int_{\text{Her}(X, Y)} \Phi(w_n n(b)g, s) db ,$$

which is convergent for $\text{Re } s > \frac{n}{2}$ and by meromorphic continuation for $s \in \mathbf{C}$. The Haar measure db is chosen self-dual with respect to the Fourier transform

$$\hat{\phi}(y) = \int \phi(b)\psi(\text{Tr}(by))db .$$

We normalise $M_n(s, \chi)$ using

$$a(s, \chi) = \prod_{j=0}^{n-1} L_F \left(2s + j - (n-1), \chi \epsilon_{E/F}^j \right)$$

and then $M_n^*(s, \chi) = \frac{1}{a(s, \chi)} M_n(s, \chi)$ is holomorphic and non zero (see [KS97, Proposition 3.2]).

PROPOSITION 2.10 [KS97]. — *Let V_m^\pm be the m -dimensional unitary space of dimension m and Hasse invariant \pm . Let $s_0 = \frac{m-n}{2}$ and χ a character of E^\times such that $\chi|_{F^\times} = \epsilon_{E/F}^m$.*

- i) If $m = 0$, i.e. if $s_0 = -\frac{n}{2}$, then $\text{Ker}(M_n^*(-\frac{n}{2}, \chi)) = R_n(V_0^+, \chi)$ and $\text{Im}(M_n^*(-\frac{n}{2}, \chi)) = R_n(V_{2n}^-, \chi)$.*
- ii) If $1 \leq m < n$, i.e. if $-\frac{n}{2} < s_0 < 0$, then $\text{Ker}(M_n^*(s_0, \chi)) = R_n(V_m^+, \chi) \oplus R_n(V_m^-, \chi)$ and $\text{Im}(M_n^*(s_0, \chi)) = R_n(V_{2n-m}^+, \chi) \cap R_n(V_{2n-m}^-, \chi)$.*
- iii) If $n \leq m < 2n$, i.e. if $0 \leq s_0 < \frac{n}{2}$, then $\text{Ker}(M_n^*(s_0, \chi)) = R_n(V_m^+, \chi) \cap R_n(V_m^-, \chi)$, $M_n^*(s_0, \chi)(R_n(V_m^\pm, \chi)) = R_n(V_{2n-m}^\pm, \chi)$ thus we have $\text{Im}(M_n^*(s_0, \chi)) = R_n(V_{2n-m}^+, \chi) \oplus R_n(V_{2n-m}^-, \chi)$.*
- iv) If $m = 2n$, i.e. if $s_0 = \frac{n}{2}$, then $\text{Ker}(M_n^*(\frac{n}{2}, \chi)) = R_n(V_{2n}^-, \chi)$ and $\text{Im}(M_n^*(\frac{n}{2}, \chi)) = M_n^*(\frac{n}{2}, \chi)(R_n(V_{2n}^+, \chi)) = R_n(V_0^+, \chi)$.*

2.6. Local Zeta integral

The last element we will use is the local Zeta integral of a representation. We fix π an irreducible admissible representation of $G(W)$.

DEFINITION 2.11. — *A matrix coefficient of π is a linear combination of functions of the form*

$$\phi(g) = \langle \pi(g)\xi, \xi^\vee \rangle$$

where ξ and ξ^\vee are vectors of the space of π and π^\vee respectively.

Moreover if ξ_\circ and ξ_\circ^\vee are preassigned spherical vectors of π and π^\vee , we let

$$\phi^\circ(g) = \langle \pi(g)\xi_\circ, \xi_\circ^\vee \rangle .$$

We parametrise the space of matrix coefficients with the space of $\pi \otimes \pi^\vee$ through the obvious projection. If $s \in \mathbf{C}$ with $\operatorname{Re} s$ large enough, $\xi \in \pi$, $\xi^\vee \in \pi^\vee$, $\Phi \in I_n(s, \chi)$, let

$$Z(s, \chi, \pi, \xi \otimes \xi^\vee, \Phi) = \int_G \langle \pi(g)\xi, \xi^\vee \rangle \Phi(i(g, I_n), s) dg$$

and extend it linearly to the space of matrix coefficients of π . We fix a maximal compact subgroup K of \tilde{G} .

DEFINITION 2.12. — *A standard section Φ is a mapping from \mathbf{C} to the set of functions from \tilde{G} to \mathbf{C} such that $\forall s \in \mathbf{C}$, $\Phi(g, s) = \Phi(s)(g) \in I_n(s, \chi)$ and, moreover, $\Phi(s)|_K$ is independent of s .*

It is rather obvious that any element $\Phi(g, s) \in I_n(s, \chi)$ can be inserted in a (unique) standard section. The Zeta integral above defines, for $\operatorname{Re} s$ sufficiently large, an intertwining operator

$$Z(s, \chi, \pi) \in \operatorname{Hom}_{G \times G}(I_n(s, \chi), \pi \otimes (\chi \cdot \pi^\vee)) .$$

If Φ is a standard section, this operator can be meromorphically extended for all $s \in \mathbf{C}$ to an operator

$$Z^*(s, \chi, \pi) \in \operatorname{Hom}_{G \times G}(I_n(s, \chi), \pi \otimes (\chi \cdot \pi^\vee)) .$$

3. Our results

3.1. Decomposition of the degenerate principal series

Let $\Omega(W_+ \oplus W_-)$ be the Grassmannian of the Lagrangians of $W_+ \oplus W_-$. We can identify

$$P_Y \backslash G(W_+ \oplus W_-) \simeq \Omega(W_+ \oplus W_-)$$

using the map $P_Y \cdot g \mapsto Yg$. There is a right action of $i(G(W) \times G(W))$ on $\Omega(W_+ \oplus W_-)$ which orbits are parametrised by the elements of the decomposition

$$G(W_+ \oplus W_-) = \prod_{r=0}^{r_0} P_Y \delta_r i(G(W) \times G(W))$$

where r_0 is the Witt index of W . The aforementioned orbits are of the form

$$\Omega_r = P_Y \backslash P_Y \delta_r i(G(W) \times G(W)) .$$

The orbit Ω_r is made of the Lagrangians Z such that $\dim Z \cap W_+ = \dim Z \cap W_- = r$. The only open orbit is that of Y , which is Ω_0 , while the only closed one is that of Ω_{r_0} and the closure of the orbit Ω_r is

$$\overline{\Omega}_r = \prod_{j \geq r} \Omega_j .$$

We consider the filtration

$$I_n(s, \chi) = I_n^{(r_0)}(s, \chi) \supset \cdots \supset I_n^{(1)}(s, \chi) \supset I_n^{(0)}(s, \chi) ,$$

where

$$I_n^{(r)}(s, \chi) = \{ \Phi \in I_n(s, \chi) \mid \Phi|_{\overline{\Omega}_{r+1}} = 0 \} .$$

Let

$$Q_n^{(r)}(s, \chi) = I_n^{(r)}(s, \chi) / I_n^{(r-1)}(s, \chi)$$

be the successive quotients of the filtration. All $I_n^{(r)}(s, \chi)$ and $Q_n^{(r)}(s, \chi)$ are $G \times G$ -stable.

Let T_W be the Witt tower containing W . For any $W' \in T_W$ of dimension $n' = n - 2r \leq n$, let $G_{n'} = G(W')$. We identify W' with a subspace of W isomorphic to W' . There is a Witt decomposition

$$W = U' \oplus W' \oplus U$$

where U and U' are dual isotropic subspaces of dimension r . Let P_r be the parabolic subgroup of G stabilising U . The Levi subgroup of P_r is isomorphic to $\mathrm{GL}(U) \times G_{n'}$ so that, if we denote M_r its Levi component and N_r its unipotent radical, we have isomorphisms

$$\begin{aligned} M_r &\simeq \mathrm{GL}(U) \times G_{n'} \\ P_r &\simeq (\mathrm{GL}(U) \times G_{n'}) \ltimes N_r . \end{aligned} \tag{3.2}$$

Note in particular for $r = 0$ that $U = U' = \{0\}$, $W' = W$ and $P_0 = G_n = G$.

Let

$$\text{St}_r = i^{-1}(\delta_r^{-1}P_Y\delta_r \cap i(G \times G))$$

be the stabiliser of $P_Y\delta_r$ in $i^{-1}(P_Y)\backslash G \times G$.

LEMMA 3.1. — *For a convenient choice of δ_r (specified in Equation (3.3) below), we have*

$$\text{St}_r = (\text{GL}(U) \times \text{GL}(U) \times \Delta(G_{n'})) \times (N_r \times N_r) \subset P_r \times P_r .$$

Moreover

$$Q_n^{(r)}(s, \chi) \simeq \text{Ind}_{P_r \times P_r}^{G \times G} \left(|\chi| \cdot |s + \frac{r}{2}| \otimes |\chi| \cdot |s + \frac{r}{2}| \otimes (\mathcal{S}(G_{n'}) \cdot (\mathbf{1} \otimes \chi)) \right)$$

where the action of $G_{n'} \times G_{n'}$ on the space $\mathcal{S}(G_{n'}) \cdot (\mathbf{1} \otimes \chi)$ is given by $(g_1, g_2)\varphi(g) = \chi(\det g_2)\varphi(g_2^{-1}gg_1)$.

Proof. — We let $G' = G_{n'}$.

Recall the Witt decomposition

$$W = U' \oplus W' \oplus U$$

and consider the Lagrangian

$$Z = U \times \{0\} \oplus \Delta(W') \oplus \{0\} \times U$$

in $W_+ \oplus W_-$. Since the action of \tilde{G} on $\Omega(W_+ \oplus W_-)$ is transitive, there exists $\delta_r \in \tilde{G}$ such that $Z = Y\delta_r$. Since any linear map from Y to Z can be extended to an element of \tilde{G} , we can furthermore require that

$$\begin{aligned} \forall v \in U', \delta_r|_{\Delta(U')}(v, v) &= (0, vd) \in \{0\} \times U \\ \delta_r|_{\Delta(W')} &= \text{id}_{\Delta(W')} \\ \forall u \in U, \delta_r|_{\Delta(U)}(u, u) &= (u, 0) \in U \times \{0\} \end{aligned} \tag{3.3}$$

where $d : U' \rightarrow U$ is any isomorphism. Note in particular that $\delta_0 = \text{id}_G$. Following [Kud96, Proof of Proposition 2.1, p.68], we find that there is a bijection between the orbit Ω_r of Z and the set

$$\{(Z_+, Z_-, \lambda)\}$$

where Z_{\pm} is an isotropic subspace of W_{\pm} of dimension r and

$$\lambda : Z_+^{\perp}/Z_+ \rightarrow Z_-^{\perp}/Z_-$$

is an isometry². The action of $(g_+, g_-) \in G \times G$ on this set is given by

$$(g_+, g_-)(Z_+, Z_-, \lambda) = (Z_+g_+, Z_-g_-, g_+^{-1} \circ \lambda \circ g_-) .$$

The stabiliser of (Z_+, Z_-, λ) is

$$\{(g_+, g_-) \in G \times G \mid g_{\pm} \text{ stabilises } Z_{\pm} \text{ and } g_+^{-1} \circ \lambda \circ g_- = \lambda\} .$$

In our situation and with our choice of δ_r , we have $Z_+ = Z_- = U$, $Z_+^{\perp}/Z_+ = W'$ and $\lambda = \text{id}_{W'}$. Hence, denoting $\text{pr}_{W'}$ the projection on W' parallel to $U' \oplus U$,

$$\begin{aligned} \text{St}_r &= \left\{ (g_+, g_-) \in P_r \times P_r \mid g_+|_{W'+U \circ \text{pr}_{W'}} = g_-|_{W'+U \circ \text{pr}_{W'}} \right\} \\ &= (\text{GL}(U) \times \text{GL}(U) \times \Delta(G')) \ltimes (N_r \times N_r) . \end{aligned}$$

For further reference, an element of P_r has the form

$$\begin{pmatrix} a & b & c \\ 0 & e & b^* \\ 0 & 0 & a^{\vee} \end{pmatrix}$$

where b^* depends on b , a and e and where c satisfies an equation depending on a , b and e . We thus have

$$g_{\pm} = \begin{pmatrix} a_{\pm} & b_{\pm} & c_{\pm} \\ 0 & e_{\pm} & b_{\pm}^* \\ 0 & 0 & a_{\pm}^{\vee} \end{pmatrix} \quad (3.4)$$

and the condition $g_+|_{W'+U \circ \text{pr}_{W'}} = g_-|_{W'+U \circ \text{pr}_{W'}}$ is simply $e_+ = e_-$.

The description of the stabiliser allows us to describe the induced representations. If $\tilde{g} \in \text{St}_r$, then $p(\tilde{g}) = \delta_r i(\tilde{g}) \delta_r^{-1} = n \cdot m(a_r(\tilde{g})) \in P_Y$. Let $\xi_{s,r}$ be the character of St_r defined by $\xi_{s,r}(\tilde{g}) = \chi(a_r(\tilde{g})) |\det a_r(\tilde{g})|^{s+\frac{r}{2}}$. Consider the morphism of $G \times G$ -modules

$$\begin{aligned} Q_n^{(r)}(s, \chi) &\longrightarrow \text{Ind}_{\text{St}_r}^{G \times G}(\xi_{s,r}) \\ \bar{f} &\longmapsto \phi_{\bar{f}}(g_1, g_2) = \int_{N'_r} f(\delta_r n(u) i(g_1, g_2)) du \end{aligned}$$

where $f \in I_n^{(r)}(s, \chi)$ is a representative of \bar{f} . This morphism is an isomorphism (see [HKS96, Equation (4.9), p.963]). Let $\tilde{g} = (g_+, g_-)$ be an element of St_r decomposed as in (3.4). Then $\det(a_r(\tilde{g})) = \det a_+ \det a_- \det e_+$ (where we recall that $e_+ = e_-$). Since $e_+ \in G'$, $|\det e_+| = 1$ hence

$$\begin{aligned} Q_n^{(r)}(s, \chi) &\simeq \text{Ind}_{\text{St}_r}^{G \times G}(\chi \cdot |\cdot|^{s+\frac{r}{2}} \otimes \chi \cdot |\cdot|^{s+\frac{r}{2}} \otimes \chi) \\ &\simeq \text{Ind}_{P_r \times P_r}^{G \times G} \left(\text{Ind}_{\text{St}_r}^{P_r \times P_r}(\chi \cdot |\cdot|^{s+\frac{r}{2}} \otimes \chi \cdot |\cdot|^{s+\frac{r}{2}} \otimes \chi) \right) . \end{aligned}$$

⁽²⁾ in [Kud96] it is an anti-isometry but, since W_- has the opposite form of W_+ , here λ is an isometry.

The induction from St_r to $P_r \times P_r$ is an induction from $\Delta(G')$ to $G' \times G'$. Moreover, if $f \in \text{Ind}_{\Delta(G')}^{G' \times G'} \chi$ then $f(h_1, h_2) = \chi(h_2) f(h_2^{-1} h_1, 1)$. Hence

$$\text{Ind}_{\Delta(G')}^{G' \times G'} \chi \simeq \mathcal{S}(G') \cdot (\mathbf{1} \otimes \chi)$$

where the action of $G' \times G'$ on $\mathcal{S}(G') \cdot (\mathbf{1} \otimes \chi)$ is given by

$$\rho(g_1, g_2) \varphi(g) = \chi(\det g_2) \varphi(g_2^{-1} g g_1) .$$

Hence

$$\begin{aligned} \text{Ind}_{\text{St}_r}^{P_r \times P_r} (\chi \cdot | \cdot |^{s+\frac{r}{2}} \otimes \chi \cdot | \cdot |^{s+\frac{r}{2}} \otimes \chi) &\simeq \chi \cdot | \cdot |^{s+\frac{r}{2}} \otimes \chi \cdot | \cdot |^{s+\frac{r}{2}} \otimes \text{Ind}_{\Delta(G')}^{G' \times G'} \chi \\ &\simeq \chi \cdot | \cdot |^{s+\frac{r}{2}} \otimes \chi \cdot | \cdot |^{s+\frac{r}{2}} \otimes (\mathcal{S}(G') \cdot (\mathbf{1} \otimes \chi)) . \end{aligned}$$

The result follows. \square

3.2. Simplicity of poles

We prove in our case the result of [KR05, section 5]. We follow the same method. We denote χ_0 the trivial character of F^\times .

PROPOSITION 3.2. — *Let $\mathfrak{z}_s \in \mathcal{H}(G // K) \otimes \mathbf{C}[q^s, q^{-s}]$ be the element defined by*

$$\mathfrak{z}_s = \prod_{i=1}^{r_0} (1 - q^{-s-\frac{1}{2}t_i})(1 - q^{-s-\frac{1}{2}t_i^{-1}}) .$$

where we recall that $\mathcal{H}(G // K) \simeq \mathbf{C}[t_1, \dots, t_n, t_1^{-1}, \dots, t_n^{-1}]^{W_G}$. For an unramified representation π of G , let $\pi(\mathfrak{z}_s)$ be the scalar by which \mathfrak{z}_s acts on the unramified vector in π . Then for all matrix coefficients ϕ of π and all standard sections $\Phi(s) \in I_n(s)$, the function

$$\pi(\mathfrak{z}_s) \cdot Z(s, \chi_0, \pi, \phi, \Phi)$$

is an entire function of s .

Proof of Proposition 3.2. — We divide the proof into four steps.

3.2.1. Step 1

By linearity of Z , we can limit ourselves to the case where ϕ is of the form

$$\phi(g) = \langle \pi(g) \pi(g_1) \xi_\circ, \pi^\vee(g_2) \xi_\circ^\vee \rangle$$

where ξ_\circ and ξ_\circ^\vee are spherical vectors in π and π^\vee and $g_1, g_2 \in G$. Then we have

$$\begin{aligned} Z(s, \chi_0, \pi, \phi, \Phi) &= \int_G \langle \pi(g)\pi(g_1)\xi_\circ, \pi^\vee(g_2)\xi_\circ^\vee \rangle \Phi_s(i(g, I_n)) dg & (3.5) \\ &= \int_G \langle \pi(g)\xi_\circ, \xi_\circ^\vee \rangle \Phi_s(i(g_2 g g_1^{-1}, I_n)) dg \\ &= |\det g_2|^{s+r_0-\frac{1}{2}} \int_G \phi^\circ(g) \Phi_s(i(g, I_n) i(g_1^{-1}, g_2^{-1})) dg \end{aligned}$$

since $|\det g_2| = 1$ and ϕ° is bi- K invariant, for all $k_1, k_2 \in K$,

$$\begin{aligned} &= \int_G \phi^\circ(g) \Phi_s(i(k_2^{-1} g k_1, I_n) i(g_1^{-1}, g_2^{-1})) dg \\ &= \int_G \phi^\circ(g) \Phi_s(i(g, I_n) i(k_1, k_2) i(g_1^{-1}, g_2^{-1})) dg \end{aligned}$$

and thus

$$= \int_G \phi^\circ(g) \Psi_s(i(g, I_n)) dg$$

where, for any $h \in H = G_{2n}$,

$$\Psi_s(h) := \int_{K \times K} \Phi_s(h i(k_1, k_2) i(g_1^{-1}, g_2^{-1})) dk_1 dk_2. \quad (3.6)$$

Note that Ψ_s is $K \times K$ -invariant section of $I_n(s)$ which is not necessarily standard.

3.2.2. Step 2

We consider the algebra

$$\mathcal{A} = \mathbf{C}[X, X^{-1}] \otimes \mathcal{H}(G // K) \simeq \mathbf{C}[X, X^{-1}] \otimes \mathbf{C}[t_1, \dots, t_n, t_1^{-1}, \dots, t_n^{-1}]^{W_G},$$

where $\mathcal{H}(G // K)$ is the K -spherical Hecke algebra of G and the element $\mathfrak{z} \in \mathcal{A}$ defined as:

$$\mathfrak{z} = \prod_{i=1}^{r_0} (1 - X q^{-\frac{1}{2}} t_i) (1 - X q^{-\frac{1}{2}} t_i^{-1}).$$

We let $G \times G$ act on $I_n(s)$ through i . We extend this action to $\mathcal{H}(G // K) \times \mathcal{H}(G // K)$ and we let any $\phi \in \mathcal{H}(G // K)$ act as $(\phi, 1) \in \mathcal{H}(G // K) \times \mathcal{H}(G // K)$. We define the action of \mathcal{A} on the space $I_n(s)^{K \times 1}$ of $K \times 1$ -fixed vectors of $I_n(s)$ by the aforementioned action of $\mathcal{H}(G // K)$ and by $X \cdot \varphi = q^{-s} \varphi$ for any $\varphi \in I_n(s)$. Note that action of $1 \times G$ commutes with the action of \mathcal{A} .

PROPOSITION 3.3. — *For any standard section Φ_s with associated section Ψ_s defined by (3.6), we have*

$$\Psi_s * \mathfrak{z} \in I_n^{(0)}(s)^{K \times K}.$$

Proof of Proposition 3.3. — We want to show the the image of $\Psi_s * \mathfrak{z}$ in each $Q_n^{(r)}(s) = Q_n^{(r)}(s, \chi_0)$ is 0 for $0 < r \leq r_0$. As an illustration, we will do the first step separately in the case of a split Hermitian space (in particular $n = 2r_0$). Consider the projection induced by restriction to the closed orbit:

$$\begin{aligned} \text{pr}_{r_0} : I_n(s) = I_n^{(r_0)}(s) &\longrightarrow Q_n^{(r_0)}(s) \simeq \text{Ind}_{P_{r_0}}^G(|\cdot|^{s+\frac{r_0}{2}}) \otimes \text{Ind}_{P_{r_0}}^G(|\cdot|^{s+\frac{r_0}{2}}) \\ \Phi_s &\longmapsto ((g_1, g_2) \mapsto \Phi_s(i(g_1, g_2))). \end{aligned}$$

If we let \mathfrak{z} act only on the first term of the tensor product on the right side, we have

$$\text{pr}_{r_0}(\Psi_s * \mathfrak{z}) = \text{pr}_{r_0}(\Psi_s) * \mathfrak{z}.$$

On the other hand, we have

$$\text{Ind}_{P_{r_0}}^G(|\cdot|^{s+\frac{r_0}{2}}) \subset \text{Ind}_B^G(\lambda)$$

where B is the standard Borel subgroup of G and λ is the unramified principal series representation with Satake parameter

$$(q^{s+r_0-\frac{1}{2}}, q^{s+r_0-\frac{3}{2}}, \dots, q^{s+\frac{1}{2}}).$$

The element \mathfrak{z} acts on the K -fixed vector of this representation by the scalar

$$\prod_{i=1}^{r_0} (1 - q^{-s-\frac{1}{2}} q^{s+r_0+\frac{1}{2}-i}) (1 - q^{-s-\frac{1}{2}} q^{-s-r_0-\frac{1}{2}+i}) = 0.$$

This means that $\text{pr}_{r_0}(\Psi_s * \mathfrak{z}) = 0$ i.e. that $\Psi_s * \mathfrak{z} \in I_n^{(r_0-1)}(s)$.

More generally, if we restrict the orbit of a section to Ω_r , we obtain a map

$$\text{pr}_r : I_n(s) \longrightarrow \text{Ind}_{P_r \times P_r}^{G \times G}(|\cdot|^{s+\frac{r}{2}} \otimes |\cdot|^{s+\frac{r}{2}} \otimes C(G_{n-2r})) =: B_r(s)$$

where $C(G_{n-2r})$ is the space of smooth functions on G_{n-2r} . There is a non-degenerate pairing between $Q_n^{(r)}(s)$ and $B_r(-s-r)$ given by

$$\langle f_1, f_2 \rangle = \int_{P_r \times P_r \backslash G \times G} \langle f_1(g_1, g_2), f_2(g_1, g_2) \rangle_{G_{n-r}} d\mu(g_1) d\mu(g_2),$$

where the internal pairing is the integration over G_{n-r} and the external integral is the invariant functional for functions which transform on the

left according to the square of the modulus character. A straightforward density argument shows that $\phi \in Q_n^{(r)}(s)$ is 0 if and only if it pairs to zero against all elements of the subspace $Q_n^{(r)}(-s-r) \subset B_r(-s-r)$. In addition if $\phi \in Q_n^{(r)}(s)^{K \times K}$ we can limit ourselves to the elements of $Q_n^{(r)}(-s-r)^{K \times K}$. Let $f_s \in Q_n^{(r)}(-s-r)^{K \times K}$ and $\mathfrak{z}_s = \mathfrak{z}|_{X=q^{-s}}$. We have

$$\langle \text{pr}_r(\Psi_s * \mathfrak{z}), f_2 \rangle = \langle \text{pr}_r(\Psi_s) * \mathfrak{z}_s, f_s \rangle = \langle \text{pr}_r(\Psi_s), f_s * \mathfrak{z}_s^\vee \rangle .$$

LEMMA 3.4. — For any $f_s \in Q_n^{(r)}(-s-r)^{K \times K}$ we have

$$f_s * \mathfrak{z}_s^\vee = 0 .$$

Proof of Lemma 3.4. — Since f_s is an element of a parabolic induction and is fixed by a maximal compact, it is determined by its value at the identity element I_n . It is not difficult to see that $f_s(I_n) \in \mathcal{S}(G)^{K_{n-r} \times K_{n-r}}$ where $K_{n-r} = G_{n-r} \cap K$. Let τ be an irreducible admissible representation of G_{n-r} . The action of $\mathcal{S}(G_{n-r})$ on τ determines a $G_{n-r} \times G_{n-r}$ -equivariant map

$$\mu_\tau : \mathcal{S}(G_{n-r}) \longrightarrow \text{Hom}^{\text{smooth}}(\tau, \tau) \simeq \tau^\vee \otimes \tau$$

where $\text{Hom}^{\text{smooth}}$ is the space of vector-space homomorphisms fixed by a compact open subgroup of $G_{n-r} \times G_{n-r}$. The two factors of $G_{n-r} \times G_{n-r}$ act respectively by pre- and post-multiplication on the elements of $\text{Hom}^{\text{smooth}}(\tau, \tau)$ so that each has finite dimensional image. A function $\varphi \in \mathcal{S}(G_{n-r})^{K_{n-r} \times K_{n-r}}$ is nonzero if and only if there exists an irreducible admissible representation τ such that $\tau(\varphi) \neq 0$, i.e. such that $\mu_\tau(\varphi) \neq 0$.

Consider $f_s * \mathfrak{z}_s^\vee$. Let τ be, as above, an irreducible admissible representation of G_{n-r} . The map μ_τ induces

$$\begin{aligned} \text{Ind}(\mu_\tau) : \text{Ind}_{P_r \times P_r}^{G \times G} (|\cdot|^{-s-\frac{r}{2}} \otimes |\cdot|^{-s-\frac{r}{2}} \otimes \mathcal{S}(G_{n-r})) \\ \longrightarrow \text{Ind}_{P_r \times P_r}^{G \times G} (|\cdot|^{-s-\frac{r}{2}} \otimes |\cdot|^{-s-\frac{r}{2}} \otimes \tau^\vee \otimes \tau) \end{aligned}$$

which satisfies $\text{Ind}(\mu_\tau)(f_s)(I_n) = \mu_\tau(f_s(I_n))$. The latter induced representation is isomorphic to

$$\text{Ind}_{P_r}^G (|\cdot|^{-s-\frac{r}{2}} \otimes \tau^\vee) \otimes \text{Ind}_{P_r}^G (|\cdot|^{-s-\frac{r}{2}} \otimes \tau)$$

which can be embedded in

$$\text{Ind}_B^G \lambda_1 \otimes \text{Ind}_B^G \lambda_2$$

where the Satake parameters are

$$\begin{aligned} \lambda_1 &= (q^{-s-\frac{1}{2}}, q^{-s-\frac{3}{2}}, \dots, q^{-s+\frac{1}{2}-r}, q^{-\nu_1}, \dots, q^{-\nu_{n-r}}) \\ \lambda_2 &= (q^{-s-\frac{1}{2}}, q^{-s-\frac{3}{2}}, \dots, q^{-s+\frac{1}{2}-r}, q^{\nu_1}, \dots, q^{\nu_{n-r}}) \end{aligned}$$

An inequality for local unitary Theta correspondence

(where $(q^{\nu_1}, \dots, q^{\nu_{n-r}})$ is the Satake parameter of τ). The operator \mathfrak{z}_s^\vee acts on the unique line of $K \times K$ -invariant vectors of this representation by the scalar

$$\prod_{i=1}^r (1 - q^{-s} q^{-\frac{1}{2}} q^{s-\frac{1}{2}+i}) (1 - q^{-s} q^{-\frac{1}{2}} q^{-s+\frac{1}{2}-i}) \cdot (\text{factor}) = 0 .$$

But $\text{Ind}(\mu_\tau)(f_s)$ is a $K \times K$ -invariant vector in this representation so that $\text{Ind}(\mu_\tau)(f_s) * \mathfrak{z}_s = 0$ and

$$\begin{aligned} \mu_\tau(f_s * \mathfrak{z}_s^\vee(I_n)) &= \text{Ind}(\mu_\tau)(f_s * \mathfrak{z}_s^\vee(I_n)) \\ &= (\text{Ind}(\mu_\tau)(f_s * \mathfrak{z}_s^\vee))(I_n) \\ &= 0 . \end{aligned}$$

Since this is true for all τ , we have $f_s * \mathfrak{z}_s^\vee(I_n) = 0$ and thus $f_s * \mathfrak{z}_s^\vee = 0$. \square

We have $\text{pr}_r(\Psi_s * \mathfrak{z}) = 0$ for all $r > 0$, which means that the support of $\Psi_s * \mathfrak{z}$ is included in Ω_0 , which concludes the proof of Proposition 3.3. \square

3.2.3. Step 3

Consider the isomorphism

$$\text{pr}_0 : I_n(s) \longrightarrow Q_n^{(0)}(G) \simeq \mathcal{S}(G) .$$

Proposition 3.3 shows that, for a fixed s , we have $\text{pr}_0(\Psi_s * \mathfrak{z}) \in \mathcal{S}(G)^{K \times K}$. Its support could vary with s . The following proposition shows that the support of $\text{pr}_0(\Psi_s * \mathfrak{z})$ is bounded uniformly in s .

LEMMA 3.5. — *We have*

$$\text{pr}_0(\Psi_s * \mathfrak{z}) \in \mathbf{C}[q^s, q^{-s}] \otimes \mathcal{S}(G)^{K \times K} = \mathbf{C}[q^s, q^{-s}] \otimes \mathcal{H}(G // K) .$$

Proof of Lemma 3.5. — Using the Cartan decomposition, write

$$\text{pr}_0(\Psi_s * \mathfrak{z}) = \sum_{\lambda \in \Lambda} c_\lambda(s) L_\lambda ,$$

where L_λ is the characteristic function of the double coset $Kg_\lambda K$ and Λ is the usual semigroup.

LEMMA 3.6. — *We have*

$$c_\lambda(s) \in \mathbf{C}[q^s, q^{-s}]$$

and thus is an entire function of s .

Proof. — We have

$$c_\lambda(s) \cdot \|L_\lambda\|^2 = \int_G (\Psi_s * \mathfrak{z})(i(g, I_n)) \cdot L_\lambda(g) dg. \quad (3.7)$$

The integral on the right is a (finite) linear combination, with coefficients in $\mathbf{C}[q^s, q^{-s}]$ of integrals of the form

$$\begin{aligned} & \int_G \int_G (\Psi_s * \mathfrak{z})(i(g, I_n) i(g_0, I_n)) \cdot L_\mu(g_0) dg_0 \cdot L_\lambda(g) dg \quad (3.8) \\ &= \int_G \int_G (\Psi_s * \mathfrak{z})(i(g_0, I_n)) \cdot L_\mu(g^{-1} g_0) \cdot L_\lambda(g) dg_0 dg \\ &= \int_G \int_G (\Psi_s * \mathfrak{z})(i(g_0, I_n)) \cdot \varphi(g_0) dg_0 \end{aligned}$$

where φ is a function depending on λ and μ . Since this function is a (finite) linear combination of characteristic functions of cosets gK , the integral in the last line of (3.8) is a (finite) linear combination with coefficients in $\mathbf{C}[q^s, q^{-s}]$ of integrals of the form

$$\int_K \int_{K \times K} \Phi_s(i(gk, I_n) i(k_1, k_2) i(g_1^{-1}, g_2^{-1})) dk_1 dk_2 dk.$$

But Φ_s is standard, hence it is right-invariant under a fixed compact open subgroup H , uniformly in s . This means that the set of g necessary to obtain the full integral (3.7) is finite and fixed. The elements g_1 and g_2 are fixed by the matrix coefficient ϕ we are considering and thus the integral (3.7) is a (finite) linear combination of $q^{\ell s}$ with $\ell \in \mathbf{Z}$. \square

Let then Λ_1 be the set of $\lambda \in \Lambda$ such that $c_\lambda \neq 0$ and for $\lambda \in \Lambda$ let

$$D_\lambda = \{s \in \mathbf{C} : c_\lambda(s) = 0\}.$$

If $\lambda \in \Lambda_1$ then D_λ is a numerable subset of \mathbf{C} . Hence $\bigcup_{\lambda \in \Lambda_1} D_\lambda$ is numerable and thus different from \mathbf{C} . Let $s_0 \in \mathbf{C}$ be such that $\forall \lambda \in \Lambda_1, c_\lambda(s_0) \neq 0$. Since

$$\text{pr}_0(\Psi_{s_0} * \mathfrak{z}) = \sum_{\lambda \in \Lambda_1} c_\lambda(s_0) \cdot L_\lambda$$

has compact support, Λ_1 is finite and thus for all $s \in \mathbf{C}$, $\text{pr}_0(\Psi_s * \mathfrak{z})$ has support in $\cup_{\lambda \in \Lambda_1} L_\lambda$. \square

3.2.4. Step 4

Going back to the Zeta integral in (3.5), we define

$$Z^*(s, \chi_0, \pi, \phi, \Phi) = \int_G \phi^\circ(g) (\Psi_s * \mathfrak{z})(i(g, I_n)) dg.$$

This integral is equal to the scalar by which $\text{pr}_0(\Psi_s * \mathfrak{z})$ acts on ξ_\circ and is thus an entire function of s because it is an element of $\mathbf{C}[q^s, q^{-s}]$. On the other hand, if $\text{Re}(s)$ is large enough we can unfold

$$\begin{aligned} Z^*(s, \chi_0, \pi, \phi, \Phi) &= \pi(\mathfrak{z}_s) \int_G \phi^\circ(g) \Psi_s(i(g, I_n)) dg \\ &= \pi(\mathfrak{z}_s) Z(s, \chi_0, \pi, \phi, \Phi) \end{aligned}$$

where $\pi(\mathfrak{z}_s)$ is the scalar by which $\mathfrak{z}_s = \mathfrak{z}|_{X=q^{-s}}$ acts on the spherical vector of π . Since $Z^*(s, \chi_0, \pi, \phi, \Phi)$ is an entire function of s , this completes the proof of Proposition 3.2. \square

3.3. The conjecture holds for the trivial representation in the even dimensional tower

DEFINITION 3.7 ([HKS96, Definition 4.6, p.963]). — For $s_0 \in \mathbf{C}$, χ a character and π and irreducible admissible representation of G , we say that π occurs in the boundary at the point $s = s_0$ if

$$\text{Hom}_{G \times G}(Q_n^{(r)}(s_0, \chi), \pi \otimes (\chi \cdot \pi^\vee)) \neq 0$$

for some $r > 0$.

PROPOSITION 3.8. — Let $\pi = \mathbf{1}$ the trivial representation of G , ϖ_E an uniformiser of E and $q_E = |\varpi_E|$. We will denote $X^u(E^\times)$ the set of unramified characters of E^\times . Let

$$X(\mathbf{1}) \neq \left\{ (s, \chi) \in \mathbf{C} \times X^u(E^\times) \mid \chi(\varpi_E) = (-1)^k, s = \frac{n}{2} - r - \frac{ki\pi}{\log q_E}, 1 \leq r \leq r_0 \right\}$$

with $1 \leq r \leq r_0$ and $k \in \mathbf{Z}$.

Then $\mathbf{1}$ appears in the boundary at s if and only if $(s, \chi) \in X(\mathbf{1})$. Moreover if $(s_0, \chi) \notin X(\mathbf{1})$, for any standard section Φ the operator $Z(s, \chi, \mathbf{1})$ is holomorphic at $s = s_0$ and

$$\text{Hom}_{G \times G}(I_n(s_0, \chi), \mathbf{1} \otimes \chi) = \mathbf{C} \cdot Z(s, \chi, \mathbf{1}).$$

Proof. — We know from Lemma 3.1 that

$$\begin{aligned} & \text{Hom}_{G \times G}(Q_n^{(r)}(s, \chi), \mathbf{1} \otimes \chi) \\ &= \text{Hom}_{G \times G} \left(\text{Ind}_{P_r \times P_r}^{G \times G} \left(\chi \cdot |\cdot|^{s+\frac{r}{2}} \otimes \chi \cdot |\cdot|^{s+\frac{r}{2}} \otimes \left(S(G') \cdot (\mathbf{1} \otimes \chi) \right) \right), \mathbf{1} \otimes \chi \right) \\ &\simeq \text{Hom}_{G \times G} \left(\mathbf{1} \otimes \chi^{-1}, \text{Ind}_{P_r \times P_r}^{G \times G} \left(\chi^{-1} \cdot |\cdot|^{-s-\frac{r}{2}} \otimes \chi^{-1} \cdot |\cdot|^{-s-\frac{r}{2}} \otimes \left(C^\infty(G') \cdot (\mathbf{1} \otimes \chi^{-1}) \right) \right) \right) \\ &\simeq \text{Hom}_{M_r \times M_r} \left(\mathbf{1} \otimes \chi^{-1}, \chi^{-1} \cdot |\cdot|^{-s-\frac{r}{2}+\frac{n-r}{2}} \otimes \chi^{-1} \cdot |\cdot|^{-s-\frac{r}{2}+\frac{n-r}{2}} \otimes \left(C^\infty(G') \cdot (\mathbf{1} \otimes \chi^{-1}) \right) \right) \end{aligned}$$

because the Jacquet module for $\mathbf{1} \otimes \chi^{-1}$ is $\mathbf{1} \otimes \chi^{-1}$ (as a representation of M_r).

Now if g corresponds to (a, g') in Equation (3.2) then $\det g = \det a \overline{\det a^{-1} \det g'}$ so that $\chi(\det g) = \chi(\det a)^2 \chi(\det g')$ but $\dim \text{Hom}_{G' \times G'}(\mathbf{1} \otimes \chi^{-1}, C^\infty(G') \cdot (\mathbf{1} \otimes \chi^{-1})) = 1$ (see [HKS96, end of section 4, p.964] for general π). Thus

$$\simeq \text{Hom}_{\text{GL}(U) \times \text{GL}(U)}(\mathbf{1} \otimes \chi^{-2}, \chi^{-1} \cdot |\cdot|^{-s+\frac{n}{2}-r} \otimes \chi^{-1} \cdot |\cdot|^{-s+\frac{n}{2}-r})$$

It follows that π occurs in the boundary at s if and only if χ is unramified, $\chi(\varpi_E) = (-1)^k$ and $(s - \frac{n}{2} + r) \log q_E + ki\pi = 0$, as required.

Suppose $(s_0, \chi) \notin X(\mathbf{1})$, i.e. $\mathbf{1}$ does not appear in the boundary. Let k be the maximum order of the pole of the Z integral in $s = s_0$ (as Φ varies). Thus

$$Z(s, \chi, \mathbf{1}, \Phi) = \frac{\tau_{-k}(s, \chi, \mathbf{1}, \Phi)}{(s - s_0)^k} + \dots + \tau_0(s, \chi, \mathbf{1}, \Phi) + \dots$$

where the τ_i are holomorphic functions of s in a neighbourhood of s_0 and τ_{-k} is non-zero. The leading term τ_{-k} is itself an intertwining operator. If we had $k > 0$, that is, if the Z integral had a pole in $s = s_0$, the restriction of τ_{-k} to $I_n^{(0)}(s_0, \chi)$ would be zero because the Z integral is convergent on

$$I_n^{(0)}(s_0, \chi) = Q_n^{(0)}(s, \chi) \simeq \mathcal{S}(G) \cdot (\mathbf{1} \otimes \chi)$$

thus convergent for every standard section $\Phi(s)$ such that $\Phi \in I_n^{(0)}(s, \chi)$. This means that we would have a non-zero intertwining operator in $\text{Hom}_{G \times G}(Q_n^{(r)}(s, \chi), \mathbf{1} \otimes \chi)$ for some $r > 0$, which is impossible by hypothesis. Thus $k \leq 0$, i.e. the integral is entire for any $\Phi \in I_n(s_0, \chi)$. Moreover, $Z(s_0, \chi, \mathbf{1})$ is a non-zero intertwining operator between $I_n^{(0)}(s_0, \chi)$ and $\mathbf{1} \otimes \chi$, which means that $\text{Hom}_{G \times G}(I_n^{(0)}(s_0, \chi), \mathbf{1} \otimes \chi)$ is non zero, thus has dimension 1, and that $Z(s_0, \chi, \mathbf{1})$ is its basis.

Let $\lambda \in \text{Hom}_{G \times G}(I_n(s_0, \chi), \mathbf{1} \otimes \chi)$. Its restriction $\bar{\lambda}$ to $I_n^{(0)}(s_0, \chi)$ is a multiple of $Z(s_0, \chi, \mathbf{1})$. Since $\mathbf{1}$ is supposed not to appear in the boundary, if $\lambda \neq 0$, then $\bar{\lambda} \neq 0$, i.e. $\bar{\lambda} = cZ(s_0, \chi, \mathbf{1})$ for some $c \neq 0$. Since $\lambda - cZ(s_0, \chi, \mathbf{1})$ is zero on $I_n^{(0)}(s_0, \chi)$, it must be zero everywhere, i.e. $\lambda = cZ(s_0, \chi, \mathbf{1})$. \square

THEOREM 3.9. — *Let m be an even integer and χ_0 the trivial character of E^\times , then*

$$\forall m \leq 2n, \quad \text{Hom}_{G \times G}(R_n(V_m^-, \chi_0), \mathbf{1}) = 0,$$

so that by (ii) of Proposition 2.6

$$\mathrm{Hom}_{G \times G}(R_n(V_{2n+2}^-, \chi_0), \mathbf{1}) \neq 0$$

and thus $m_{\chi_0}^-(\mathbf{1}) = 2n + 2$. Since $m_{\chi_0}^+(\mathbf{1}) = 0$, we have

$$m_{\chi_0}^+(\mathbf{1}) + m_{\chi_0}^-(\mathbf{1}) = 2n + 2 .$$

Proof. — By (i) of Proposition 2.6, it suffices to prove that

$$\mathrm{Hom}_{G \times G}(R_n(V_{2n}^-, \chi_0), \mathbf{1}) = 0 .$$

From Proposition 3.8 we know that

$$\mathrm{Hom}_{G \times G}\left(I_n\left(-\frac{n}{2}, \chi_0\right), \mathbf{1}\right)$$

is non zero and is generated by

$$Z\left(-\frac{n}{2}, \chi_0, \mathbf{1}\right)$$

which is holomorphic at $-\frac{n}{2}$. The element of $I_n(-\frac{n}{2}, \chi_0)$ equal to 1 on K is $\chi_{0, \tilde{G}}$. As seen in [Li92, Theorem 3.1, p.186] and [LR05, Proposition 3, p.333] we have

$$Z\left(-\frac{n}{2}, \chi_0, \mathbf{1}, \phi^\circ, \chi_{0, \tilde{G}}\right) \neq 0$$

and thus $Z(-\frac{n}{2}, \chi_0, \mathbf{1})(\chi_{0, \tilde{G}}) \neq 0$. Let

$$\phi \in \mathrm{Hom}_{G \times G}(R_n(V_{2n}^-, \chi_0), \mathbf{1})$$

and

$$\tilde{\phi} = \phi \circ M_n^*\left(-\frac{n}{2}, \chi_0\right) \in \mathrm{Hom}_{G \times G}\left(I_n\left(-\frac{n}{2}, \chi_0\right), \mathbf{1}\right) .$$

We have $\chi_{0, \tilde{G}} \in R_n(V_0^+, \chi_{0, \tilde{G}}) = \ker M_n^*(-\frac{n}{2}, \chi_0)$ so that $\tilde{\phi}(\chi_{0, \tilde{G}}) = 0$. This means that $\tilde{\phi} = 0$ because it is a multiple of $Z(-\frac{n}{2}, \chi_0, \mathbf{1})$. We know from Proposition 2.10 that the mapping

$$M_n^*\left(-\frac{n}{2}, \chi_0\right) : I_n\left(-\frac{n}{2}, \chi_0\right) \longrightarrow R_n(V_{2n}^-, \chi_0)$$

is surjective so that $\phi = 0$. \square

3.4. Half of the conjecture

THEOREM 3.10. — *Let π be an irreducible admissible representation of $G(W)$, then*

$$m_{\chi}^{+}(\pi) + m_{\chi}^{-}(\pi) \geq 2n + 2 .$$

Proof. — Fix $m_0 \in \{0, 1\}$, a character χ of E^{\times} such that $\chi|_{F^{\times}} = \epsilon_{E/F}^{m_0}$ and suppose we have two Hermitian spaces V_a^{+} and V_b^{-} such that

$$\theta_{\chi}(\pi, V_a^{+}) \neq 0 \quad \text{and} \quad \theta_{\chi}(\pi, V_b^{-}) \neq 0 ,$$

with $\dim V_a^{+} = a$, $\dim V_b^{-} = b$, a and b of the parity of m_0 , $\epsilon(V_a^{+}) = 1$ and $\epsilon(V_b^{-}) = -1$. Let $V_{b,-}$ be the same space as V_b^{-} with opposite form and

$$\mathbb{W}_a = V_a^{+} \otimes W, \quad \mathbb{W}_b = V_b^{-} \otimes W, \quad \mathbb{W}_{b,-} = V_{b,-} \otimes W.$$

We denote $\omega_{a,\chi}$ (resp. $\omega_{b,\chi}$, $\omega_{b,-,\chi}$) the representations of G induced by the representations $\omega_{a,\psi}$ (resp. $\omega_{b,\psi}$, $\omega_{b,-,\psi}$) of $\text{Mp}(\mathbb{W}_a)$ (resp. $\text{Mp}(\mathbb{W}_b)$, $\text{Mp}(\mathbb{W}_{b,-})$). By hypothesis on V_a^{+} and V_b^{-} we have two non-zero (and thus surjective) elements

$$\lambda \in \text{Hom}_G(\omega_{a,\chi}, \pi), \quad \mu \in \text{Hom}_G(\omega_{b,\chi}, \pi) .$$

Let $g_0 \in \text{GL}_F(W)$ be an F -automorphism of W which is conjugate-linear as an E -morphism. Then $\text{Ad}(g_0)$ is a MVW involution on G . Conjugating μ and π by $\text{Ad}(g_0)$ we get a non-zero morphism

$$\mu^{\vee} \in \text{Hom}_G(\omega_{b,\chi}^{\vee}, \pi^{\vee})$$

and thus a surjective

$$\nu_0 = \lambda \otimes \mu^{\vee} \in \text{Hom}_{G \times G}(\omega_{a,\chi} \otimes \omega_{b,\chi}^{\vee}, \pi \otimes \pi^{\vee}) .$$

We consider the projection of ν_0 on the trivial subquotient and see it as a G -homomorphism through the diagonal action of G . We get a non-zero element

$$\nu \in \text{Hom}_G(\omega_{a,\chi} \otimes \omega_{b,\chi}^{\vee}, \mathbf{1}) .$$

We have

$$\omega_{b,\psi}^{\vee} \simeq \omega_{b,\bar{\psi}} \simeq \omega_{b,-,\psi} .^3$$

On the other hand we can identify $\text{Mp}(\mathbb{W}_b)$ and $\text{Mp}(\mathbb{W}_{b,-})$ in which case we get the following

(3) The first isomorphism holds true because $\omega_{b,\psi}$ is unitary, the second because of the definition of $r(g)$ in 2.3

LEMMA 3.11. — *We have*

$$\tilde{v}_{b,\chi} \simeq \tilde{v}_{b,-,\chi^{-1}} ,$$

where we added a subscript to \tilde{v} to remember which Hermitian space is involved.

Proof. — The space V_b^- can be decomposed as an orthogonal direct sum of a split space and zero, one or two anisotropic lines. Since the splitting \tilde{v} is additive, we consider separately the split and the anisotropic case.

We first consider the case in which V_b^- is split. We will need some additional notations (see [HKS96, n.10, p.950]). For any additive character η of F and $a \in F$ we will let η_a be the character such that $\eta_a(x) = \eta(ax)$, $\gamma_F(\eta) \in \mu_8$ is the Weil index of the quadratic character $x \mapsto \eta(x^2)$ and $\gamma_F(a, \eta) = \frac{\gamma_F(\eta_a)}{\gamma_F(\eta)}$. Recall that (see [HKS96, n.11, p.950])

$$\gamma_F(ab, \eta) = (a, b)_F \gamma_F(a, \eta) \gamma_F(b, \eta) .$$

Let η be the character such that $\eta(x) = \psi(\frac{1}{2}x)$ (i.e. $\eta = \psi_{\frac{1}{2}}$). For $g \in G$, we denote $j(g)$ the integer such that $i(g, I_n) \in P_Y \delta_{j(g)} i(G \times G)$. Since V_b^- is split we have (see [HKS96, 1.15, p.953]),

$$\tilde{v}_{b,\chi}(g) = (v_b(g), \beta_{V_b^-, \chi}(g))$$

with

$$\beta_{V_b^-, \chi}(g) = \chi(x(g)) \gamma_F(\eta \circ RV)^{-j(g)}$$

where

$$\gamma_F(\eta \circ RV) = (\Delta, \det V_b^-)_F \gamma_F(-\Delta, \eta)^b \gamma_F(-1, \eta)^{-b}.^4$$

Let

$$\begin{array}{ccc} \varphi : \mathrm{Sp}(\mathbb{W}_b) \times \mathbf{C}^1 \simeq \mathrm{Mp}(\mathbb{W}_b) & \longrightarrow & \mathrm{Sp}(\mathbb{W}_{b,-}) \times \mathbf{C}^1 \simeq \mathrm{Mp}(\mathbb{W}_{b,-}) \\ (g, z) & \longmapsto & (g, \bar{z}) \end{array}$$

be the identification. Then $\overline{\chi(x(g))} = \chi^{-1}(x(g))$ and

$$\begin{aligned} \overline{\gamma_F(-\Delta, \eta) \gamma_F(-1, \eta)^{-1}} &= \overline{\left(\frac{\gamma_F(\eta - \Delta)}{\gamma_F(\eta - 1)} \right)} = \frac{\gamma_F(\eta \Delta)}{\gamma_F(\eta_1)} = \gamma_F(\Delta, \eta) \gamma_F(1, \eta)^{-1} \\ &= (\Delta, -1)_F \gamma_F(-\Delta, \eta) (-1, -1)_F \gamma_F(-1, \eta)^{-1} \\ &= (\Delta, -1)_F \gamma_F(-\Delta, \eta) \gamma_F(-1, \eta)^{-1} \end{aligned}$$

⁽⁴⁾ for this single proof, we fix $\delta \in E^\times - F^\times$ such that $\Delta = \delta^2 \in F^\times$ and use it to identify the Hermitian and skew-Hermitian spaces

thus, since $\det V_{b,-}^- = (-1)^b \det V_b^-$, we have $\overline{\beta_{V_{b,-},\chi}^-}(g) = \beta_{V_{b,-},\chi^{-1}}(g)$ and

$$\varphi \circ \tilde{v}_{b,\chi} = \tilde{v}_{b,-,\chi^{-1}}$$

as claimed.

We now consider the case in which V_b^- is an anisotropic line. We identify V_b^- with E and if $(x, y) \in E^2$, we have $\langle x, y \rangle = \mathbf{a}\bar{x}y$ for some $\mathbf{a} \in F$. If $g \in G(V_b^-) = E^1$, we decompose $g = x + \delta y$ (with $x, y \in F$) and we have (see [Kud94, Proposition 4.8, p.396])

$$\begin{aligned} \beta_{V_{b,-},\chi}^-(g) &= \chi(\delta(g-1))\gamma_F(2\mathbf{a}y(x-1), \eta)\gamma_F(\eta)(\Delta, -2y(1-x))_F \\ &= \chi(\delta(g-1))\gamma_F(\eta_{2\mathbf{a}y(x-1)})(\Delta, -2y(1-x))_F \end{aligned}$$

and

$$\beta_{V_{b,-},\chi}^-(g) = \chi(\delta(g-1))\gamma_F(\eta_{-2\mathbf{a}y(x-1)})(\Delta, -2y(1-x))_F .$$

It is immediate that $\overline{\beta_{V_{b,-},\chi^{-1}}^-}(g) = \beta_{V_{b,-},\chi}^-(g)$ and

$$\varphi \circ \tilde{v}_{b,\chi} = \tilde{v}_{b,-,\chi^{-1}}$$

as claimed. \square

Let

$$V_{a,b,-} = V_a^+ \oplus V_{b,-}^-, \quad \mathbb{W}_{a,b,-} = \mathbb{W}_a \oplus \mathbb{W}_{b,-}$$

and let, as before, χ_0 be the trivial character of E^\times . We denote, as above, $\omega_{a,b,-,\chi_0}$ the representation of G induced by the Weil representation $\omega_{a,b,-,\psi}$. Let

$$\tilde{v} : \mathrm{Mp}(\mathbb{W}_a) \times \mathrm{Mp}(\mathbb{W}_{b,-}) \longrightarrow \mathrm{Mp}(\mathbb{W}_{a,b,-})$$

be the natural map whose restriction to \mathbf{C}^1 is the product. Then

$$\tilde{v}^* \omega_{a,b,-,\psi} = \omega_{a,\psi} \otimes \omega_{b,-,\psi} .$$

According to [HKS96, Lemma 5.2, p.964],

$$\tilde{v}_{a,b,-,\chi_0} = \tilde{v} \circ (\tilde{v}_{a,\chi} \times \tilde{v}_{b,-,\chi^{-1}}) \circ \Delta : G \longrightarrow \mathrm{Mp}(\mathbb{W}_{a,b,-}) .$$

Thus as a representation of G we have

$$\omega_{a,\chi} \otimes \omega_{b,-,\chi^{-1}} \simeq \omega_{a,b,-,\chi_0} .$$

We thus have a non-zero element

$$\nu \in \mathrm{Hom}_G(\omega_{a,\chi} \otimes \omega_{b,\chi}^\vee, \mathbf{1}) \simeq \mathrm{Hom}_G(\omega_{a,b,-,\chi_0}, \mathbf{1}) .$$

We have $\dim V_{a,b,-} = a + b$ even. Let us compute $\epsilon(V_{a,b,-})$:

$$\begin{aligned}
 \epsilon(V_{a,b,-}) &= (-1)^{\frac{(a+b)(a+b-1)}{2}} \det V_{a,b,-} \\
 &= (-1)^{\frac{a(a-1)+ab+ba+b(b-1)}{2}} \det V_a^+ \det V_{b,-}^- \\
 &= (-1)^{\frac{a(a-1)+b(b-1)}{2}+ab} \det V_a^+ (-1)^b \det V_b^- \\
 &= (-1)^{ab+b} (-1)^{\frac{a(a-1)}{2}} \det V_a^+ (-1)^{\frac{b(b-1)}{2}} \det V_b^- \\
 &= (-1)^{ab+b} \epsilon(V_a^+) \epsilon(V_b^-) .
 \end{aligned}$$

Since both ab and b have the parity of m_0 we have $\epsilon(V_{a,b,-}) = \epsilon(V_a^+) \epsilon(V_b^-) = -1$. Thus, according to Theorem 3.9

$$a + b \geq 2n + 2$$

as needed. \square

3.5. Criterion

DEFINITION 3.12. — For a given $m \in \{0, \dots, 2n\}$, let $m' = 2n - m$. The space $V_{m'}^\pm$ is said to be complementary to V_m^\pm (the space V_{2n}^- has no complementary).

Remark 3.13. — If $V_{m'}^\pm$ is complementary of V_m^\pm , then $s'_0 = \frac{m'-n}{2} = \frac{2n-m-n}{2} = \frac{n-m}{2} = -s_0$.

THEOREM 3.14. — Fix $m_0 \in \{0, 1\}$ and a character χ of E^\times such that $\chi|_{F^\times} = \epsilon_{E/F}^{m_0}$. Suppose that

$$\dim \text{Hom}_{G \times G}(I_n(s_0, \chi), \pi \otimes (\chi \cdot \pi^\vee)) = 1$$

for all s_0 in

$$\begin{cases} \left\{ -\frac{n}{2}, 1 - \frac{n}{2}, \dots, \frac{n}{2} - 1, \frac{n}{2} \right\} & \text{if } m_0 = 0 \\ \left\{ \frac{1-n}{2}, \frac{3-n}{2}, \dots, \frac{n-3}{2}, \frac{n-1}{2} \right\} & \text{if } m_0 = 1, \end{cases}$$

i.e. for all $s_0 \in \frac{m_0}{2} + \mathbf{Z}$ such that $|s_0| \leq \frac{n}{2}$. Then

$$m_\chi^+(\pi) + m_\chi^-(\pi) = 2n + 2 .$$

To prove the theorem, we will need the composition series for $I_n(s_0, \chi)$ in each case where it is reducible. Using [KS97], we give here those series explicitly with indication of the action of the operators $M^*(s_0, \chi)$. In the diagram we have implicitly $m' = 2n - m$. Note that V_0^- does not exist,

but we define the space $R_n(V_0^-, \chi)$ as the zero-dimensional subspace in $R_n(V_0^+, \chi)$.

$$\begin{array}{c}
 0 \quad \subset \quad R_n(V_0^+, \chi) \quad \subset \quad I(-\frac{n}{2}, \chi) \\
 \parallel \\
 R_n(V_0^-, \chi) \quad M^*(\frac{n}{2}, \chi)(R_n(V_{2n}^+, \chi)) = M^*(\frac{n}{2}, \chi)I(\frac{n}{2}, \chi) \\
 \parallel \\
 M^*(\frac{n}{2}, \chi)(R_n(V_{2n}^+, \chi)) \quad \text{Ker } M^*(-\frac{n}{2}, \chi) \quad m = 0, s_0 = -\frac{n}{2}
 \end{array}$$

$$\begin{array}{c}
 M^*(-s_0, \chi)(R_n(V_m^+, \chi)) \\
 \parallel \\
 R_n(V_m^+, \chi) \\
 \subset \\
 0 \quad \subset \quad R_n(V_m^+, \chi) \oplus R_n(V_m^-, \chi) \subset I_n(s_0, \chi) \\
 \subset \\
 R_n(V_m^-, \chi) \quad \subset \quad \text{Ker } M^*(s_0, \chi) \\
 \parallel \\
 M^*(-s_0, \chi)(R_n(V_m^-, \chi)) \quad 1 \leq m < n, -\frac{n}{2} < s_0 < 0
 \end{array}$$

$$\begin{array}{c}
 M^*(0, \chi)(R_n(V_n^+, \chi)) \\
 \parallel \\
 R_n(V_n^+, \chi) \\
 \subset \\
 0 \quad \subset \quad R_n(V_n^+, \chi) \oplus R_n(V_n^-, \chi) = I(0, \chi) \\
 \parallel \subset \\
 \text{Ker } M^*(0, \chi) \quad R_n(V_n^-, \chi) \\
 \parallel \\
 M^*(0, \chi)(R_n(V_n^-, \chi)) \quad m = n, s_0 = 0
 \end{array}$$

$$\begin{array}{c}
 R_n(V_m^+, \chi) \\
 \subset \\
 0 \subset R_n(V_m^+, \chi) \cap R_n(V_m^-, \chi) \subset R_n(V_m^+, \chi) + R_n(V_m^-, \chi) = I_n(s_0, \chi) \\
 \parallel \subset \\
 \text{Im } M^*(-s_0, \chi) \quad R_n(V_m^-, \chi) \\
 \parallel \\
 \text{Ker } M^*(s_0, \chi) \quad n < m < 2n, 0 < s_0 < \frac{n}{2}
 \end{array}$$

$$\begin{array}{c}
 0 \subset R_n(V_{2n}^-, \chi) \subset R_n(V_{2n}^+, \chi) = I_n(\frac{n}{2}, \chi) \\
 \parallel \\
 \text{Im } M^*(-\frac{n}{2}, \chi) \\
 \parallel \\
 \text{Ker } M^*(\frac{n}{2}, \chi) \quad m = 2n, s_0 = \frac{n}{2}
 \end{array}$$

In each case an inclusion sign means that the quotient is non-zero and irreducible.

Proof. — Fix $m_0 \in \{0, 1\}$ and a character χ of E^\times such that $\chi|_{F^\times} = \epsilon_{E/F}^{m_0}$. For $0 \leq m' \leq 2n$, we put $m = 2n - m'$ and recall that $s_0 = \frac{m-n}{2}$.

The case $m_\chi^+(\pi) = 0$ is immediate because it implies $\pi = \mathbf{1}$ and Theorem 3.9 says that $m_\chi^-(\pi) = 2n + 2$.

If $s_0 \geq 0$ we have $I_n(s_0, \chi) = R_n(V_m^+, \chi) + R_n(V_m^-, \chi)$ and thus, thanks to the hypothesis of the theorem, at least one of

$$\mathrm{Hom}_{G \times G}(R_n(V_m^\pm, \chi), \pi \otimes (\chi \cdot \pi^\vee))$$

is non zero. Thanks to Proposition 2.8 this in turn means that

$$\min(m_\chi^+(\pi), m_\chi^-(\pi)) \leq n + 1$$

(the bound is $n + 1$ and not n in case m and n have opposite parity). If $s_0 > \frac{n}{2}$ then $I_n(s_0, \chi)$ is irreducible and thus

$$R_n(V_m^\pm, \chi) = I_n(s_0, \chi) .$$

Since we have $m > 2n > \min(m_\chi^+(\pi), m_\chi^-(\pi))$, by the persistence principle (see Proposition 2.6, point (1.)) we have

$$\mathrm{Hom}_{G \times G}(R_n(V_m^\pm, \chi), \pi \otimes (\chi \cdot \pi^\vee)) \neq 0$$

for one and thus both signs \pm . This means $\max(m_\chi^+(\pi), m_\chi^-(\pi)) \leq 2n + 2 - m_0$.

Let $\epsilon = \pm$ be such that $m_\chi^\epsilon(\pi) = \min(m_\chi^+(\pi), m_\chi^-(\pi))$. We let m' be $m_\chi^\epsilon(\pi)$ (and choose m and s_0 accordingly). As observed above, the case $m' = 0$ has already been proved. If $m' = 1$, then from Theorem 3.10 we have $m_\chi^{-\epsilon}(\pi) \geq 2n + 1$ and thus, thanks to the preceding bound, $m_\chi^{-\epsilon}(\pi) = 2n + 1$ (observe that if $m' = 1$ then $m_0 = 1$).

We now suppose $2 \leq m' \leq n + 1$, i.e. $-\frac{1}{2} \leq s_0 \leq \frac{n}{2} - 1$. By Theorem 3.10 we thus have $m_\chi^{-\epsilon}(\pi) \geq 2n + 2 - m' \geq n + 1$. Since m' is the minimum of $m_\chi^\pm(\pi)$, we have

$$\mathrm{Hom}_{G \times G}(R_n(V_{m'-2}^+, \chi) \oplus R_n(V_{m'-2}^-, \chi), \pi \otimes (\chi \cdot \pi^\vee)) = 0 \quad (3.9)$$

(here $R_n(V_0^-, \chi) = 0$ as defined above). This means that any element of $\mathrm{Hom}_{G \times G}(I_n(-s_0 - 1, \chi), \pi \otimes (\chi \cdot \pi^\vee))$ factors through

$$I_n(-s_0 - 1, \chi)/R_n(V_m^+, \chi) \oplus R_n(V_m^-, \chi) \simeq \mathrm{Im} M^*(-s_0 - 1, \chi)$$

and thus

$$\dim \operatorname{Hom}_{G \times G}(\operatorname{Im} M^*(-s_0 - 1, \chi), \pi \otimes (\chi \cdot \pi^\vee)) = 1 .$$

On the other hand, let

$$\mu \in \operatorname{Hom}_{G \times G}(I_n(s_0 + 1, \chi), \pi \otimes (\chi \cdot \pi^\vee))$$

with $\mu \neq 0$. Suppose

$$\mu|_{R_n(V_{m+2}^{-\epsilon})} = 0 .$$

Then, since $\mu \neq 0$ we have

$$\mu|_{R_n(V_{m+2}^\epsilon)} = 0 ,$$

and thus

$$\operatorname{Hom}_{G \times G}(R_n(V_{m+2}^{-\epsilon})/R_n(V_{m+2}^{-\epsilon}) \cap R_n(V_{m+2}^\epsilon), \pi \otimes (\chi \cdot \pi^\vee)) \neq 0 .$$

But $M^*(s_0 + 1)$ identifies

$$R_n(V_{m+2}^{-\epsilon})/R_n(V_{m+2}^{-\epsilon}) \cap R_n(V_{m+2}^\epsilon)$$

with $R_n(V_{m'-2}^{-\epsilon})$. This means that

$$\operatorname{Hom}_{G \times G}(R_n(V_{m'-2}^{-\epsilon}), \pi \otimes (\chi \cdot \pi^\vee)) \neq 0 .$$

From (3.9), we know that this is impossible. Hence μ must be non-zero on $R_n(V_{m+2}^{-\epsilon})$ thus

$$m_\chi^{-\epsilon}(\pi) \leq m + 2 = 2n + 2 - m' .$$

We thus have $m_\chi^+(\pi) + m_\chi^-(\pi) = 2n + 2$ as claimed. \square

APPENDIX

A. Completion of a proof

As announced in the introduction, we want to add a missing statement in the proof of [Har07, Theorem 3.4, p.128]. In the proof of the theorem, one should check that the spherical vector of the representation $I_n(s, \alpha^*)$ belongs to $R_n(V_m^+)$ for almost all places v . We prove it here in the following lemma.

LEMMA A.1. — *We suppose E/F , V , W , m , n , G , H , \mathbb{W} , \mathbb{X} , \mathbb{Y} , χ and ψ are as above. We suppose in addition that E/F , χ and ψ are unramified. Then for any $s = \frac{m-n}{2}$ the spherical vector of $I_n(s, \chi)$ is in $R_n(V_m^+, \chi)$.*

Proof. — The spherical vector of $I_n(s, \chi)$ is the unique element Φ° such that $\Phi^\circ(K) = \{1\}$. Thus one only needs to check that there is an element in $\Phi \in R_n(V_m^+, \chi)$ such that $\Phi(K) = \{1\}$. Remember that

$$R_n(V_m^+, \chi) = \{g \mapsto \omega_\chi(g)\varphi(0) : \varphi \in \mathcal{S}(\mathbb{X})\} .$$

We let V be any of the two spaces V_m^\pm . The action of G over the space $\mathcal{S}(\mathbb{X})$ can be summarised by (see [KS97, top of p.280]):

$$\begin{aligned} \omega_\chi(m(a))\varphi(x) &= \chi(\det a) |\det a|_E^{\frac{n}{2}} \varphi(x \cdot a) \\ \omega_\chi(n(b))\varphi(x) &= \psi(\mathrm{tr}((x, x)b))\varphi(x) \\ \omega_\chi(\delta_r)\varphi(x) &= \gamma^{-r} \int_{V^r} \psi(\mathrm{Tr}_{E/F} \mathrm{tr}(x'', z)) \varphi(x' + z) dz \end{aligned}$$

with the following conventions for the last integral: V is decomposed as $V^{n-r} \oplus V^r$, $x = x' + x''$ according to this decomposition and the Haar measure dz is the r -power of the Haar measure of V which is self-dual for the Fourier transform defined by the pairing $\psi \circ \mathrm{Tr}_{E/F}(\cdot, \cdot)$ and γ is a quotient of Weil indexes of quadratic forms.

If $k \in P \cap K$, we obviously have $\omega_\chi(k)\varphi(0) = \varphi(0)$. An element $f \in I_n(0, \chi)$ is spherical if and only if $\forall k \in K$, $f(k) = f(I_n) \neq 0$. Thus the spherical vector of $I_n(0, \chi)$ will be in $R_n(V, \chi)$ if and only if $\omega_\chi(\delta_r)\varphi(0) = \varphi(0)$ for all r (and $\varphi(0) \neq 0$).

We now suppose that $V = V^+$; remember that the uniformiser ϖ of F is an uniformiser for E . We choose an orthonormal basis (v_1, \dots, v_n) of V .

We first compute the Haar measure of V . Let V_\circ be the \mathcal{O}_E -module generated by (v_1, \dots, v_n) in V and φ° its characteristic function. After identification of V^* with V thanks to $\psi \circ \mathrm{Tr}_{E/F}(\cdot, \cdot)$, the Fourier transform of φ°

is

$$\widehat{\varphi}^\circ(y) = \int_V \psi(\mathrm{Tr}_{E/F}(x, y)) \varphi(x) dx .$$

We readily see that $\widehat{\varphi}^\circ = \mu(\mathcal{O}_\circ) \varphi^\circ$ so that

$$\widehat{\widehat{\varphi}^\circ} = \mu(\mathcal{O}_\circ)^2 \varphi^\circ$$

which means that the measure has to be normalised by $\mu(\mathcal{O}_\circ) = 1$.

We now compute γ in both cases for W : Hermitian or skew-Hermitian. Its precise definition, taken from [Kud94, Theorem 3.1, p.378, case 3₊], is as follows. Fix $\delta \in E^\times$ be such that $E = F(\delta)$ and $\Delta = \delta^2 \in F^\times$. Then

$$\gamma = (\det V, \Delta)_F \gamma_F(-\Delta, \eta)^m \gamma_F(-1, \eta)^{-m} .$$

Since E/F is unramified, Δ has valuation 0. Looking at [Rao93, Prop A.11, p.369] we readily see that $\gamma_F(-\Delta, \eta) = \gamma_F(-1, \eta) = 1$. One should note that the correct formula for $\gamma_F(a, \eta)$ in Proposition A.11 should be

$$\gamma_F(a, \eta) = \left(\frac{\bar{u}}{\bar{F}} \right)^{\alpha(\eta)} \cdot \left\{ \left(\frac{\bar{u}}{\bar{F}} \right) \gamma_{\bar{F}}(\bar{\eta}) \right\}^{\alpha(a)}$$

but that does not change anything for us because $\alpha(\eta) = 0$ anyway. Since $V = V^+$, we have $(\det V, \Delta)_F = 1$ and thus $\gamma = 1$. Observe that this remains true if W is skew-Hermitian (case 3₋ of [Kud94]) because the definition of γ differs between the two cases by a scaling by δ for V and the product by $\chi(\delta)$; since δ has valuation 0 this does not change γ . \square

This allows us to slightly reformulate [Har07, Theorem 3.2, p.125], since one hypothesis is now proved.

TH. 3.2 (Harris). — *Let $G = GU(W)$, a unitary group with signature (r, s) at infinity, and let π be a cuspidal automorphic representation of G . We assume $\pi \otimes \chi$ occurs in anti-holomorphic cohomology $\bar{H}^{r,s}(Sh(W), E_\mu)$ where μ is the highest weight of a finite-dimensional representation of G . Let χ, α be algebraic Hecke characters of \mathcal{K}^\times of type η_κ and η_κ^{-1} , respectively. Let s_0 be an integer which is critical for the L -function $L^{mot, S}(s, \pi \otimes \chi, St, \alpha)$; i.e. s_0 satisfies the inequalities (3.3.8.1) of [Har97]:*

$$(**) \quad \frac{n - \kappa}{2} \leq s_0 \leq \min(q_{s+1}(\mu) + k - \kappa - \mathcal{Q}(\mu), p_s(\mu - k - \mathcal{P}(\mu)),$$

Define $m = 2s_0 - \kappa$. Let α^* denote the unitary character $\alpha/|\alpha|$ and assume

$$(3.2.1) \quad \alpha^*|_{\mathbf{A}_\mathbb{Q}^\times} = \varepsilon_\mathcal{K}^m .$$

Suppose there is a positive-definite hermitian space V of dimension m and a finite set S of finite primes such that

- (a) For every finite v in S , π_v does not occur in the boundary at s_0 for α_v^* , and π_v is ambiguous for m and α^* ;
- (b) For every finite v , $\Theta_{\alpha^*}(\pi_v \otimes \chi_v, V_v) \neq 0$;
- (c) For every finite v outside S , all data (π_v , χ_v , α_v , and the additive character ψ_v) are unramified.

Then

(i) One can find a factorizable vector $\phi_f \in I_n(s, \alpha^*)_f$ such that for every finite v , $\phi_v \in R_n(V_v, \alpha^*)$ and ϕ_f takes values in $(2\pi i)^{(s_0 + \kappa)n} L \cdot \mathbb{Q}^{ab}$ and two factorizable vectors $\varphi \in \pi \otimes \chi$, $\varphi' \in \alpha^* \cdot (\pi \otimes \chi)^\vee$ arithmetic over the field of definition $E(\pi)$ of π_f .

(ii) Suppose φ is as in (i). Then

$$L^{\text{mot}, S}(s_0, \pi \otimes \chi, St, \alpha) \sim_{E(\pi, \chi^{(2) \cdot \alpha}); \mathcal{K}} P(s_0, k, \kappa, \pi, \varphi, \chi, \alpha)$$

where $P(s_0, k, \kappa, \pi, \varphi, \chi, \alpha)$ is the period

$$(2\pi i)^{s_0 n - \frac{nw}{2} + k(r-s) + \kappa s} g(\varepsilon_{\mathcal{K}}^{\lfloor \frac{n}{2} \rfloor}) \cdot \pi^c P^{(s)}(\pi, *, \varphi) g(\alpha_0)^s p((\chi^{(2)} \cdot \alpha)^\vee, 1)^{r-s}$$

appearing in Theorem 3.5.13 of [Har97].

Proof. — With respect to the original theorem we just removed the existence of factorizable vectors in $\pi \otimes \chi$ and $\alpha^* \cdot (\pi \otimes \chi)^\vee$, the existence of ϕ_f and, accordingly, condition (a). The fact that there are factorizable vectors in $\pi \otimes \chi$ and $\alpha^* \cdot (\pi \otimes \chi)^\vee$ is well known. We know that for any v such that no data ramifies (neither the extension nor the characters), then the spherical vector ϕ_v° is in $R_n(V_{m,v}^+)$. However for all but finitely many v , we have $V_v \simeq V_{m,v}^+$. Denote S' the set of primes that are either infinite or such that some data ramify or such that $V_v \not\simeq V_{m,v}^+$. Then for $v \notin S'$, let $\phi_v = \phi_v^\circ$ the spherical vector. For any finite $v \in S'$, let ϕ_v be any element of $\text{Soc}_{n,m}(s)$. Then $\phi_f = \otimes \phi_v \in I_n(s, \alpha^*)_f$ satisfies condition (a) of [Har07, Theorem 3.2]. Thus the hypotheses of Harris' Theorem are verified. \square

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