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# Cyclicity in Besov–Dirichlet spaces from the Corona Theorem <sup>(\*)</sup>

YABREB EGUEH <sup>(1)</sup>, KARIM KELLAY <sup>(2)</sup> AND MOHAMED ZARRABI <sup>(3)</sup>

*A la mémoire de Mohamed Zarrabi 1964-2021*

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**ABSTRACT.** — Tolokonnikov’s Corona Theorem is used to obtain two results on cyclicity in Besov–Dirichlet spaces.

**RÉSUMÉ.** — Nous utilisons le Théorème de la couronne de Tolokonnikov pour obtenir deux résultats sur la cyclicité dans les espaces de Besov–Dirichlet.

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## 1. Introduction

Let  $X$  be a Banach space of analytic functions in the unit disc  $\mathbb{D}$  such that the shift operator  $S : f(z) \rightarrow zf(z)$  is a continuous map of  $X$  into itself. The cyclic vectors in  $X$  are those functions  $f$  such that the polynomial multiples of  $f$  are dense in  $X$ . Beurling in [6] provided a complete characterization of cyclic vectors in the Hardy space; the cyclic vectors are precisely the outer functions. Cyclic vectors in the Dirichlet space were initially examined by Carleson in [11] and later by Brown and Shields in [9]. In this paper, we focus on studying cyclic vectors in Besov–Dirichlet spaces. Specifically, motivated by the inquiries raised by Brown and Shields [9, Question 3] regarding cyclic vectors in a general Banach space  $X$  of analytic functions:

**QUESTION.** — *If  $f, g \in X$ , if  $g$  is cyclic, and if  $|f(z)| \geq |g(z)|$  for all  $z \in \mathbb{D}$  then must  $f$  be cyclic?*

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<sup>(1)</sup> Univ. Bordeaux & CNRS, IMB, UMR 5251 F-33400 Talence, France — yabreb@math.u-bordeaux.fr

<sup>(2)</sup> Univ. Bordeaux & CNRS, IMB, UMR 5251 F-33400 Talence, France — kkellay@math.u-bordeaux.fr

<sup>(3)</sup> Univ. Bordeaux & CNRS, IMB, UMR 5251 F-33400 Talence, France

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We extend some of Brown and Shields' results on cyclicity to Besov–Dirichlet spaces. We now introduce some notations. For  $p \geq 1$  and  $\alpha > -1$ , the Besov space,  $\mathcal{D}_\alpha^p$  is the set of holomorphic functions on  $\mathbb{D}$  such that

$$\mathcal{D}_{\alpha,p}(f) = \int_{\mathbb{D}} |f'(z)|^p dA_\alpha(z) < \infty,$$

where  $dA_\alpha(z) = (1+\alpha)(1-|z|^2)^\alpha dA(z)$  and  $dA(z)$  is the normalised Lebesgue measure on the disc. The Besov–Dirichlet space is equipped with the norm

$$\|f\|_{\mathcal{D}_\alpha^p}^p = |f(0)|^p + \mathcal{D}_{\alpha,p}(f).$$

The Besov–Dirichlet space  $\mathcal{D}_\alpha^p$  is the set of holomorphic functions  $f$  on  $\mathbb{D}$  whose derivative  $f'$  is a function of the Bergman space  $\mathcal{A}_\alpha^p = L^p(\mathbb{D}, dA_\alpha) \cap \text{Hol}(\mathbb{D})$ , where  $\text{Hol}(\mathbb{D})$  is the space of holomorphic functions on  $\mathbb{D}$ . Note that if  $p = 2$  and  $\alpha = 1$ ,  $\mathcal{D}_1^2$  is the Hardy space  $H^2$  and if  $p = 2$  and  $\alpha = 0$ , then  $\mathcal{D}_0^2$  is the classical Dirichlet space  $\mathcal{D}$ .

Denote by  $[f]_{\mathcal{D}_\alpha^p}$  the smallest  $S$ -invariant subspace containing  $f$ , the vector subspace generated by  $\{z^n f, n \in \mathbb{N}\}$ . We say that  $f \in \mathcal{D}_\alpha^p$  is cyclic in  $\mathcal{D}_\alpha^p$  if

$$[f]_{\mathcal{D}_\alpha^p} = \mathcal{D}_\alpha^p.$$

The function  $f \in H^1$  is called outer function if it is of the form

$$f(z) = \exp \frac{1}{2\pi} \int_{\mathbb{T}} \frac{\zeta + z}{\zeta - z} \log \varphi(\zeta) |d\zeta|, \quad |z| < 1,$$

where  $\varphi$  is nonnegative function in  $L^1(\mathbb{T})$  such that  $\log \varphi \in L^1(\mathbb{T})$ . Note that  $|f| = \varphi$  a.e. on the unit circle  $\mathbb{T} = \partial\mathbb{D}$ .

The problem of characterizing the cyclic vectors in the Dirichlet space  $\mathcal{D}_0^2$  is much more difficult. In [9], Brown and Shields conjectured that a function  $f$  in the Dirichlet space  $\mathcal{D}$  is cyclic for the shift operator if and only if  $f$  is outer and its boundary zero set is of logarithmic capacity zero. The characterization of cyclic vector of  $\mathcal{D}_\alpha^p$  depends on the values of  $p$  and  $\alpha$ . More precisely our investigation is limited to the case  $\alpha + 1 \leq p \leq \alpha + 2$ . Indeed, If  $1 < p < \alpha + 1$ , then  $H^p$  is continuously embedded in  $\mathcal{D}_\alpha^p$  see [22], hence every outer function  $f \in H^p$  is cyclic for  $\mathcal{D}_\alpha^p = \mathcal{A}_{\alpha-p}^p$ . On the other hand, if  $p > \alpha + 2$ , then  $\mathcal{D}_\alpha^p \subset \mathcal{A}(\mathbb{D}) = \text{Hol}(\mathbb{D}) \cap \mathcal{C}(\overline{\mathbb{D}})$  becomes Banach algebra see [22], consequently the only cyclic outer functions are the invertible functions, and then any function that vanishes at least at one point is not cyclic in  $\mathcal{D}_\alpha^p$ . For  $f \in \mathcal{A}(\mathbb{D})$ , denote

$$\mathcal{Z}(f) = \{\zeta \in \mathbb{T} : f(\zeta) = 0\}$$

the boundary zero set of  $f$ . Recall that Brown and Shields conjectured that a function  $f$  in the Dirichlet space  $\mathcal{D}$  is cyclic for the shift operator if and

only if  $f$  is outer and its boundary zero set  $\mathcal{Z}(f)$  is of logarithmic capacity zero. Here, we will prove the following theorem.

**THEOREM 1.1.** — *Let  $p > 1$  such that  $\alpha + 1 \leq p \leq \alpha + 2$ . Let  $f \in \mathcal{D}_\alpha^p \cap \mathcal{A}(\mathbb{D})$  be an outer function such that  $\mathcal{Z}(f) = \{1\}$ , then  $f$  is cyclic in  $\mathcal{D}_\alpha^p$ .*

The case of the classical Dirichlet space  $\mathcal{D}_0^2$  was discovered by Hedenmalm–Shields [20] and generalized by Richter–Sundberg [25]. This result was shown [22] for  $\alpha + 1 < p \leq \alpha + 2$ , the method used for the proof is inspired by that of Hedenmalm and Shields [20]. Note that our result also includes the case where  $p = \alpha + 1$ . Thanks to [20, Theorem 3], Theorem 1.1 remains true if  $\mathcal{Z}(f) = \{1\}$  is replaced by  $\mathcal{Z}(f)$  is countable. Our second main result is

**THEOREM 1.2.** — *Let  $p > 1$  such that  $1 + \alpha \leq p \leq \alpha + 2$ . Let  $f, g \in \mathcal{D}_\alpha^p \cap \mathcal{A}(\mathbb{D})$  such that*

$$|g(z)| \leq |f(z)|, \quad z \in \mathbb{D}. \quad (1.1)$$

*If  $g$  is cyclic in  $\mathcal{D}_\alpha^p$  then  $f$  is cyclic in  $\mathcal{D}_\alpha^p$ .*

This result generalizes that of Brown and Shields [9, Theorem 1], then Aleman [1, Corollary 3.3] for  $\mathcal{D}_\alpha^2$  spaces.

The proof of the two theorems is based on the Tolokonnikov Corona Theorem [28]. The idea of using the corona theorem in this context goes back to Roberts for the Bergman space [26], see also [2, 7, 8, 19]. For some results related to cyclic vectors, see [5, 15, 16, 17, 18, 21, 24, 25] and the references therein.

## 2. Proof of Theorem 1.1 and Theorem 2.5

We recall two results we will need for the proofs. The first is the Corona Theorem of Tolokonnikov [28]

**THEOREM 2.1.** — *Let  $1 < p \leq \alpha + 2$  and Let  $f_1, f_2 \in \mathcal{D}_\alpha^p \cap \mathcal{A}(\mathbb{D})$  such that*

$$\inf_{z \in \mathbb{D}} (|f_1(z)| + |f_2(z)|) > \delta > 0.$$

*Then there exists  $h_1, h_2 \in \mathcal{D}_\alpha^p \cap \mathcal{A}(\mathbb{D})$  such that*

$$\begin{cases} f_1(z)h_1(z) + f_2(z)h_2(z) = 1, & z \in \mathbb{D} \\ \|h_1\|_{\mathcal{D}_\alpha^p \cap \mathcal{A}(\mathbb{D})} \leq \delta^{-\mathbf{A}} & \text{and} \quad \|h_2\|_{\mathcal{D}_\alpha^p \cap \mathcal{A}(\mathbb{D})} \leq \delta^{-\mathbf{A}} \end{cases}$$

*for some positive constant  $\mathbf{A} \geq 4$  independent of  $p$  and  $\alpha$ .*

*Remark 2.2.* — If  $p > \alpha + 2$ , then  $\mathcal{D}_\alpha^p \subset \mathcal{A}(\mathbb{D})$ . If  $p = 2$  and  $\alpha = 0$ ,  $\mathcal{D}_0^2 = H^2$  and we therefore find the classical Carleson–Corona Theorem [12]. In this case, the constant  $\mathbf{A} > 2$  instead of  $\mathbf{A} \geq 4$ . If  $\alpha = p - 2$ , Tolokonnikov [28] showed that  $\mathbf{A} = 4$ . Nicolau in [23] showed the Corona Theorem but without giving the quantitative version, see also [3, 13].

Let  $T$  be a bounded linear operator acting on an infinite dimensional complex Banach space  $X$ . The spectrum of  $T$  is denoted by  $\sigma(T)$ . The following corollary is easily obtained by Atzmon’s Theorem [4] and Cauchy’s inequalities.

**COROLLARY 2.3.** — *Let  $T$  be an invertible operator on Banach  $X$  such that  $\sigma(T) = \{1\}$ . Suppose that there exist  $k \geq 0$  and  $c > 0$  such that for  $\varepsilon > 0$ , there exists  $c_\varepsilon > 0$*

$$\begin{cases} \|(T - zI)^{-1}\| \leq c_\varepsilon \exp \frac{\varepsilon}{1-|z|} & |z| < 1, \\ \|(T - zI)^{-1}\| \leq \frac{c}{(|z|-1)^k} & |z| > 1, \end{cases}$$

then  $(I - T)^k = 0$ .

## 2.1. Proof of Theorem 1.1

Let  $\lambda \in \mathbb{C}$  and put

$$\delta_\lambda := \inf_{z \in \mathbb{D}} (|\lambda - z| + |f(z)|).$$

Since  $f$  is an outer function, by [27]

$$\lim_{|z| \rightarrow 1-} (1 - |z|) \log 1/|f(z)| = 0.$$

For all  $\varepsilon > 0$ , there is therefore  $c_\varepsilon > 0$  such that

$$|f(z)| \geq c_\varepsilon \exp \frac{-\varepsilon}{1-|z|}, \quad z \in \mathbb{D}. \quad (2.1)$$

Considering  $|\lambda| \neq 1$ , we distinguish two cases:

- If  $|z - \lambda| \geq |1 - |\lambda||/2$ , then  $\delta_\lambda \geq |1 - |\lambda||/2$ .
- If  $|z - \lambda| \leq |1 - |\lambda||/2$ , then

$$|1 - |\lambda||/2 \geq |z - \lambda| \geq |(1 - |\lambda|) - (1 - |z|)| \geq |1 - |\lambda|| - |1 - |z||.$$

Thus, we get  $1 - |z| \geq |1 - |\lambda||/2$  and by (2.1). We then have

$$|f(z)| \geq c_\varepsilon \exp \frac{-\varepsilon}{|1 - |\lambda||}.$$

Therefore, we finally get

$$\delta_\lambda \geq c_\varepsilon \exp \frac{-\varepsilon}{|1 - |\lambda||}.$$

According to the Theorem 2.1, There are  $g, h \in \mathcal{D}_\alpha^p \cap \mathcal{A}(\mathbb{D})$  such that

$$\begin{cases} (\lambda - z)g + fh = 1 \\ \|g\|_{\mathcal{D}_\alpha^p \cap \mathcal{A}(\mathbb{D})} \leq \delta_\lambda^{-A} \quad \text{and} \quad \|h\|_{\mathcal{D}_\alpha^p \cap \mathcal{A}(\mathbb{D})} \leq \delta_\lambda^{-A} \end{cases}$$

for some constant  $A \geq 4$ .

Let  $[f]_{\mathcal{D}_\alpha^p \cap \mathcal{A}(\mathbb{D})}$  be the closed  $S$ -invariant subspace generated by  $f$  and let

$$\pi : \mathcal{D}_\alpha^p \cap \mathcal{A}(\mathbb{D}) \rightarrow \mathcal{D}_\alpha^p \cap \mathcal{A}(\mathbb{D}) / [f]_{\mathcal{D}_\alpha^p \cap \mathcal{A}(\mathbb{D})}$$

be the canonical surjection. We have

$$(\lambda\pi(1) - \pi(z))^{-1} = \pi(g).$$

For  $|\lambda| < 1$ , we have

$$\begin{aligned} \|(\lambda\pi(1) - \pi(z))^{-1}\| &= \|\pi(g)\| \\ &\leq \|g\|_{\mathcal{D}_\alpha^p \cap \mathcal{A}(\mathbb{D})} \\ &\leq c_\varepsilon \exp \frac{\varepsilon}{1 - |\lambda|}. \end{aligned}$$

For  $|\lambda| > 1$ , we have

$$\begin{aligned} \|(\lambda\pi(1) - \pi(z))^{-1}\| &\leq \|(\lambda - z)^{-1}\|_{\mathcal{D}_\alpha^p \cap \mathcal{A}(\mathbb{D})} \\ &= \frac{1}{|\lambda| - 1} + \frac{1}{|\lambda|} + \left( \int_{\mathbb{D}} \frac{dA_\alpha(z)}{|\lambda - z|^{2p}} \right)^{1/p} \\ &\leq \frac{2}{|\lambda| - 1} + \frac{1}{(|\lambda| - 1)^p}. \end{aligned}$$

The spectrum of  $\pi$ ,  $\sigma(\pi) = \{1\}$ , by Corollary 2.3,  $(\pi(1) - \pi(\alpha))^{[p]+1} = 0$ , and we get  $(1 - z)^{[p]+1} \in [f]_{\mathcal{D}_\alpha^p \cap \mathcal{A}(\mathbb{D})}$ . Since  $(1 - z)^{[p]+1}$  is cyclic in  $\mathcal{D}_\alpha^p$ ,  $f$  is also cyclic in  $\mathcal{D}_\alpha^p$  and the proof is complete.

## 2.2. Proof of Theorem 1.2

The proof of the Theorem 1.2 is deduced from the following two results.

**LEMMA 2.4.** — *Let  $p > 1$  such that  $1 + \alpha \leq p \leq \alpha + 2$ . Let  $f, g \in \mathcal{D}_\alpha^p \cap \mathcal{A}(\mathbb{D})$ , if  $fg$  is cyclic, then both  $f$  and  $g$  are cyclic.*

*Proof.* — It suffices to show that  $g$  is cyclic. Let  $\sigma_n(f)$  denote the Fejér means of the partial sums of the power series for  $f$ . Since the  $\sigma_n(f)$  converges to  $f$  in  $\mathcal{D}_\alpha^p$ ,  $\sigma_n(f)g$  converge pointwise to  $fg$  in  $\mathbb{D}$  and

$$\|(\sigma_n(f)g - fg)'\|_{\mathcal{A}_\alpha^p}^p \leq \|(\sigma_n(f) - f)\|_\infty \|g'\|_{\mathcal{A}_\alpha^p}^p + \|\sigma_n(f) - f\|_{\mathcal{D}_\alpha^p}^p \|g\|_\infty,$$

we obtain that  $\sigma_n(f)g$  converges to  $fg$  in  $\mathcal{D}_\alpha^p$ , which completes the proof.  $\square$

The constant  $N$  in the following theorem is related to that of the Corona Theorem. If  $\alpha = p - 2$ , we have  $N(p - 2, p) = 5$ .

**THEOREM 2.5.** — *Let  $p > 1$  be such that  $\alpha + 1 \leq p \leq \alpha + 2$ , there exists  $N = N(\alpha, p)$  which depends only on  $\alpha$  and  $p$  such that if  $f, g \in \mathcal{D}_\alpha^p \cap \mathcal{A}(\mathbb{D})$  with*

$$|g(z)| \leq |f(z)|, \quad z \in \mathbb{D},$$

*then  $[g^N]_{\mathcal{D}_\alpha^p} \subset [f]_{\mathcal{D}_\alpha^p}$ .*

*Proof.* — Let  $\lambda \in \mathbb{C}$  and set

$$\inf_{z \in \mathbb{D}} \left\{ |1 - \lambda g(z)| + |f(z)| \right\} = \delta_\lambda.$$

Considering  $\lambda \neq 0$ , we have

- If  $|g(z)| \leq \frac{1}{2|\lambda|}$ , then  $|1 - \lambda g(z)| \geq 1 - |\lambda||g(z)| \geq \frac{1}{2}$ .
- If  $|g(z)| \geq \frac{1}{2|\lambda|}$  then  $|f(z)| \geq \frac{1}{2|\lambda|}$

From this, follows

$$\delta_\lambda \geq \frac{1}{2|\lambda|}.$$

According to the Theorem 2.1, there are  $F_\lambda, G_\lambda \in \mathcal{D}_\alpha^p \cap \mathcal{A}(\mathbb{D})$  such that

$$\begin{cases} (1 - \lambda g)G_\lambda + fF_\lambda = 1, \\ \|F_\lambda\|_{\mathcal{D}_\alpha^p \cap \mathcal{A}(\mathbb{D})} \leq \delta_\lambda^{-\mathbf{A}} \quad \text{and} \quad \|G_\lambda\|_{\mathcal{D}_\alpha^p \cap \mathcal{A}(\mathbb{D})} \leq \delta_\lambda^{-\mathbf{A}} \end{cases}$$

for some constant  $\mathbf{A} > 4$ .

As before, we consider the canonical surjection

$$\pi : \mathcal{D}_\alpha^p \cap \mathcal{A}(\mathbb{D}) \rightarrow (\mathcal{D}_\alpha^p \cap \mathcal{A}(\mathbb{D})) / [f]_{\mathcal{D}_\alpha^p \cap \mathcal{A}(\mathbb{D})}.$$

We have

$$(\pi(1 - \lambda g))^{-1} = \pi(G_\lambda)$$

and

$$\begin{aligned} \|(\pi(1 - \lambda g))^{-1}\|_{\mathcal{D}_\alpha^p \cap \mathcal{A}(\mathbb{D}) / [f]_{\mathcal{D}_\alpha^p \cap \mathcal{A}(\mathbb{D})}} &= \|\pi(G_\lambda)\|_{\mathcal{D}_\alpha^p \cap \mathcal{A}(\mathbb{D}) / [f]_{\mathcal{D}_\alpha^p \cap \mathcal{A}(\mathbb{D})}} \\ &\leq \|G_\lambda\|_{\mathcal{D}_\alpha^p \cap \mathcal{A}(\mathbb{D})} \\ &\leq 2^{\mathbf{A}} |\lambda|^{\mathbf{A}}. \end{aligned}$$

By Liouville’s Theorem,  $\pi(1 - \lambda g)^{-1}$  is polynomial of degree at most  $[\mathbf{A}]$ . Since  $|\lambda g| < 1$ ,  $\pi(1 - \lambda g)^{-1} = \sum_{n \geq 0} \lambda^n \pi^n(g)$ . We obtain  $\pi^{[\mathbf{A}]+1}(g) = 0$  which means that  $g^{[\mathbf{A}]+1} \in [f]_{\mathcal{D}_\alpha^p \cap \mathcal{A}(\mathbb{D})}$  and hence  $[g^{[\mathbf{A}]+1}]_{\mathcal{D}_\alpha^p} \subset [f]_{\mathcal{D}_\alpha^p}$ .  $\square$

### 3. Refinement of the Theorem 1.2

We can improve the estimate (1.1) in Theorem 2.5. The improved estimate we are looking for is given by the following result.

**THEOREM 3.1.** — *Let  $p > 1$  such that  $\alpha + 1 \leq p \leq \alpha + 2$ . Let  $f, g \in \mathcal{D}_\alpha^p \cap \mathcal{A}(\mathbb{D})$ . Suppose that  $\operatorname{Re}(g) \geq 0$  and there exists  $\gamma > 1$  such that.*

$$|g(z)| \leq \left( \log \frac{\|f\|_{\mathcal{D}_\alpha^p \cap \mathcal{A}(\mathbb{D})}}{|f(z)|} \right)^{-\gamma}, \quad z \in \mathbb{D} \quad (3.1)$$

then  $[g]_{\mathcal{D}_\alpha^p} \subset [f]_{\mathcal{D}_\alpha^p}$ .

*Proof.* — We assume that  $\|f\|_{\mathcal{D}_\alpha^p \cap \mathcal{A}(\mathbb{D})} = 1$ . Let  $\lambda \in \mathbb{C}$ , we set

$$\inf_{z \in \mathbb{D}} \left\{ |1 - \lambda g(z)| + |f(z)| \right\} = \delta_\lambda.$$

Considering  $\lambda \neq 0$ , we distinguish two cases:

- If  $|g(z)| \leq \frac{1}{2|\lambda|}$  then  $|1 - \lambda g(z)| \geq 1 - |\lambda||g(z)| \geq \frac{1}{2}$ .
- If  $|g(z)| \geq \frac{1}{2|\lambda|}$ , then by (3.1)

$$|f(z)| \geq e^{-(2|\lambda|)^{\frac{1}{\gamma}}}.$$

From this follows

$$\delta_\lambda \geq e^{-(2|\lambda|)^{\frac{1}{\gamma}}}.$$

By Theorem 2.1, there exists  $F_\lambda, G_\lambda \in \mathcal{D}_\alpha^p \cap \mathcal{A}(\mathbb{D})$  such that

$$\begin{cases} (1 - \lambda g)G_\lambda + fF_\lambda = 1, \\ \|F_\lambda\|_{\mathcal{D}_\alpha^p \cap \mathcal{A}(\mathbb{D})} \leq \delta^{-\mathbf{A}} \quad \text{and} \quad \|G_\lambda\|_{\mathcal{D}_\alpha^p \cap \mathcal{A}(\mathbb{D})} \leq \delta^{-\mathbf{A}} \end{cases}$$

for some constant  $\mathbf{A} \geq 4$ .

Let  $\pi$  be the canonical surjection

$$\pi : \mathcal{D}_\alpha^p \cap \mathcal{A}(\mathbb{D}) \rightarrow (\mathcal{D}_\alpha^p \cap \mathcal{A}(\mathbb{D})) / [f]_{\mathcal{D}_\alpha^p \cap \mathcal{A}(\mathbb{D})}.$$

We have

$$\pi(1 - \lambda g)^{-1} = \pi(G_\lambda)$$



and

$$\begin{aligned} \|\pi(1 - \lambda g)^{-1}\|_{\mathcal{D}_\alpha^p \cap \mathcal{A}(\mathbb{D})/[f]_{\mathcal{D}_\alpha^p \cap \mathcal{A}(\mathbb{D})}} &= \|\pi(G_\lambda)\|_{\mathcal{D}_\alpha^p \cap \mathcal{A}(\mathbb{D})/[f]_{\mathcal{D}_\alpha^p}} \\ &\leq \|G_\lambda\|_{\mathcal{D}_\alpha^p \cap \mathcal{A}(\mathbb{D})} \\ &\leq \frac{1}{\delta_\lambda^{\mathbf{A}}} \leq e^{\mathbf{A}(2|\lambda|)^{\frac{1}{\gamma}}}. \end{aligned}$$

Let  $\ell \in (\mathcal{D}_\alpha^p \cap \mathcal{A}(\mathbb{D})/[f]_{\mathcal{D}_\alpha^p \cap \mathcal{A}(\mathbb{D})})^*$  with norm  $\|\ell\| = 1$  and define  $\varphi$  by

$$\varphi(\lambda) = \langle (\pi(1 - \lambda g))^{-1}, \ell \rangle.$$

The function  $\varphi$  is analytic on  $\mathbb{C}$  and

$$|\varphi(\lambda)| \leq e^{c|\lambda|^{\frac{1}{\gamma}}} \quad (3.2)$$

where  $c = 2^{\frac{1}{\gamma}} \mathbf{A}$ . Since  $\gamma > 1$ , there exists  $\theta_\gamma$  such that  $\frac{\pi}{2}(2 - \gamma) < \theta_\gamma < \frac{\pi}{2}\gamma$ . We suppose that  $\theta_\gamma < \pi$ . Consider now the sector

$$\mathcal{S}_{\theta_\gamma} = \{\lambda \in \mathbb{C} : |\arg \lambda| < \theta_\gamma\}.$$

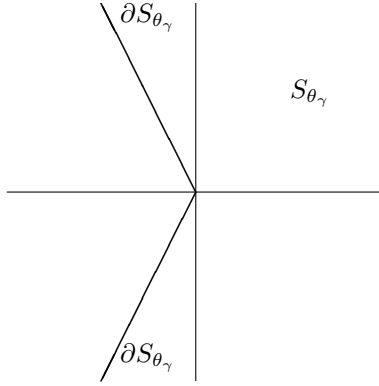


Figure 3.1. The secteur  $\mathcal{S}_{\theta_\gamma}$

Let  $\lambda \in \partial \mathcal{S}_{\theta_\gamma}$ , since  $\pi/2 < \theta_\gamma < \pi$ ,  $\operatorname{Re}(\lambda) \leq 0$  and  $\operatorname{Re}(\frac{1}{\lambda} - g(z)) \leq 0$ . We obtain

$$\begin{aligned} |1 - \lambda g(z)| &= |\lambda| \left| \frac{1}{\lambda} - g(z) \right| \\ &\geq |\lambda| \left| \operatorname{Re} \left( \frac{1}{\lambda} - g(z) \right) \right| \\ &\geq |\lambda| \frac{|\operatorname{Re}(\lambda)|}{|\lambda|^2} = \frac{|\operatorname{Re}(\lambda)|}{|\lambda|}. \end{aligned}$$

Moreover,  $\lambda \in \partial\mathcal{S}_{\theta_\gamma}$ , hence

$$\operatorname{Re}(\lambda) = |\lambda| \cos \theta_\gamma.$$

If we set  $C_\gamma = |\cos \theta_\gamma|^{-1} \neq 0$ , we get

$$\frac{1}{|1 - \lambda g(z)|} \leq \frac{|\lambda|}{|\operatorname{Re}(\lambda)|} = C_\gamma.$$

Then  $\varphi$  is analytic on  $\mathcal{S}_{\theta_\gamma}$ , continuous on  $\overline{\mathcal{S}_{\theta_\gamma}}$  and satisfies

$$\begin{cases} |\varphi(\lambda)| \leq e^{c|\lambda|^{\frac{1}{\gamma}}} & \text{for } \lambda \in \mathcal{S}_{\theta_\gamma} \\ |\varphi(\lambda)| \leq C_\gamma & \text{for } \lambda \in \partial\mathcal{S}_{\theta_\gamma}. \end{cases}$$

Since  $\frac{1}{\gamma} < \frac{\pi}{2\theta_\gamma}$  with  $\theta_\gamma < \frac{\pi}{2}\gamma$ , by the Phragmén–Lindelöf principle for a sector  $\mathcal{S}_{\theta_\gamma}$ , we have

$$|\varphi(\lambda)| \leq C_\gamma, \quad \lambda \in \mathcal{S}_{\theta_\gamma}.$$

The function  $\varphi$  is an entire function and satisfies (3.2) on  $\mathbb{C}$ . Again using the Phragmén–Lindelöf principle for a sector

$$\mathcal{S} = \mathbb{C} \setminus \mathcal{S}_{\theta_\gamma} = \{\lambda \in \mathbb{C} : \theta_\gamma < \arg(\lambda) < 2\pi - \theta_\gamma\}.$$

Since  $2\pi - 2\theta_\gamma$  we get

$$\begin{cases} |\varphi(\lambda)| \leq e^{c|\lambda|^{\frac{1}{\gamma}}} & \text{on } \lambda \in \mathcal{S} \\ |\varphi(\lambda)| \leq C_\gamma & \text{on } \lambda \in \partial\mathcal{S} \end{cases}$$

Since  $\theta_\gamma > \frac{\pi}{2}(2 - \gamma)$ ,  $\frac{1}{\gamma} < \frac{\pi}{2\pi - 2\theta_\gamma}$  and

$$|\varphi(\lambda)| \leq C_\gamma \quad \lambda \in \mathcal{S}.$$

Then  $\varphi$  is bounded on  $\mathbb{C}$ , By Liouville Theorem,  $\varphi$  is a constant function

$$\varphi(\lambda) = \varphi(0) = \langle \pi^{-1}(1), \ell \rangle, \quad \lambda \in \mathbb{C}.$$

Thus,  $\pi^{-1}(1 - \lambda g) = \pi^{-1}(1) = \pi(1)$ . For  $|\lambda g| < 1$ , we have  $\pi(1) = \pi^{-1}(1 - \lambda g) = \sum_{n \geq 0} \lambda^n \pi^n(g)$ . Consequently  $\pi(g) = 0$  and  $g \in [f]_{\mathcal{D}_\alpha^p \cap \mathcal{A}(\mathbb{D})}$ , hence  $[g]_{\mathcal{D}_\alpha^p} \subset [f]_{\mathcal{D}_\alpha^p}$ .  $\square$

*Remark 3.2.* — We will construct two functions  $f$  and  $g$  that satisfy the condition of the Theorem 3.1, this answers a question of Sasha Borichev. A closed set  $E$  of the unit circle is said to be  $K$ -set (after Kototchigov), if there exists a positive constant  $c_E$  such that for any arc  $I \subset \mathbb{T}$

$$\sup_{\zeta \in I} \operatorname{dist}(\zeta, E) \geq c_E |I|$$

where  $I$  denotes the length of  $I$ .  $K$ -sets arise as the interpolation sets for Hölder classes. Examples include the generalized cantor set [16, 17], we refer to [10, 14] for more details. Such a set fulfills the following condition

$$\frac{1}{|I|} \int_I \frac{|\zeta|}{\text{dist}(\zeta, E)^\alpha} \leq |I|^{-\sigma}$$

for

$$\sigma < \left( \log \left( \frac{1}{1 - c_E} \right) \right) / \left( \log \left( \frac{2}{1 - c_E} \right) \right).$$

In particular,  $E$  has measure zero and  $\log \text{dist}(\zeta, E) \in L^1(\mathbb{T})$ . Let  $p > 1$  be such that  $\alpha + 1 \leq p \leq \alpha + 2$ . Let us now consider the outer function

$$|g(\zeta)| = \text{dist}(\zeta, E)^\beta, \quad \zeta \in \mathbb{T}.$$

Since  $E$  is  $K$ -set, by [10],  $\text{Re } g(z) > 0$ ,

$$\text{Re } g(z) \asymp |g(z)| \asymp \text{dist}(z, E)^\beta \quad \text{and} \quad |g'(z)| \asymp \text{dist}(z, E)^{\beta-1}, \quad z \in \mathbb{D}.$$

If  $1/(2 + \alpha) < \beta < 1$ , then

$$\mathcal{D}_{\alpha,p}(g) \asymp \int_{\mathbb{D}} \frac{dA_\alpha(z)}{\text{dist}(z, E)^{p(1-\beta)}} \lesssim \int_0^1 \frac{dr}{(1-r)^{(\alpha+2)(1-\beta)-\alpha}} < \infty.$$

so  $g \in \mathcal{A}(\mathbb{D}) \cap \mathcal{D}_\alpha^p$ . Now let  $1/\gamma = \kappa$

$$f(z) = \exp(-1/g^\kappa(z)), \quad z \in \mathbb{D}.$$

We have

$$f'(z) = \kappa \frac{g'(z)}{g(z)^{\kappa+1}} \exp(-1/g^\kappa(z)).$$

Thus  $|f'(z)| \leq |g'(z)|$  and  $f \in \mathcal{D}_\alpha^p$ .

Let us conclude this work with a final remark. Denote by  $c_0$  the logarithmic capacity and by  $c_\alpha$  the  $\alpha$ -capacity for  $0 < \alpha < 1$ . The case of Dirichlet spaces  $\mathcal{D}_\alpha^2$ ,  $0 \leq \alpha < 1$ , was studied in [16, 17]. In particular, it was shown in that if  $f \in \mathcal{D}_\alpha^2 \cap \mathcal{A}(\mathbb{D})$ , is an outer function such that  $\mathcal{Z}(f)$  is a generalized cantor set, then  $f$  is cyclic in  $\mathcal{D}_\alpha^2$  if and only if  $c_\alpha(\mathcal{Z}(f)) = 0$ . We do not know if this result also holds for  $\mathcal{D}_\alpha^p \cap \mathcal{A}(\mathbb{D})$ .

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